



AMERICAN METEOROLOGICAL SOCIETY

Bulletin of the American Meteorological Society

EARLY ONLINE RELEASE

This is a preliminary PDF of the author-produced manuscript that has been peer-reviewed and accepted for publication. Since it is being posted so soon after acceptance, it has not yet been copyedited, formatted, or processed by AMS Publications. This preliminary version of the manuscript may be downloaded, distributed, and cited, but please be aware that there will be visual differences and possibly some content differences between this version and the final published version.

The DOI for this manuscript is doi: [10.1175/BAMS-D-12-00228.1](https://doi.org/10.1175/BAMS-D-12-00228.1)

The final published version of this manuscript will replace the preliminary version at the above DOI once it is available.



Understanding Uncertainties in Future Colorado River Streamflow

Julie A. Vano^{A*}; Bradley Udall^B; Daniel R. Cayan^{C,D}; Jonathan T. Overpeck^E; Levi D. Brekke^F; Tapash Das^{C,G}; Holly C. Hartmann^H; Hugo G. Hidalgo^{C,I}; Martin Hoerling^J; Gregory J. McCabe^K; Kiyomi Morino^L; Robert S. Webb^J; Kevin Werner^M; Dennis P. Lettenmaier^A

^A Department of Civil and Environmental Engineering, University of Washington

^B University of Colorado, CU-NOAA Western Water Assessment, Boulder, CO

^C Division of Climate, Atmospheric Sciences, and Physical Oceanography, Scripps Institution of Oceanography, La Jolla, CA

^D U.S. Geological Survey, La Jolla, CA

^E Institute of the Environment, University of Arizona, Tucson, AZ

^F U.S. Bureau of Reclamation, Denver, CO

^G CH2MHill, San Diego, CA (current affiliation)

^H Arid Lands Information Center, University of Arizona, Tucson, AZ

^I School of Physics, University of Costa Rica (current affiliation)

^J NOAA Earth Systems Research Laboratory, Boulder, CO

^K U.S. Geological Survey, Denver, CO

^L Laboratory of Tree-Ring Research, University of Arizona, Tucson, AZ

^M National Weather Service, Colorado Basin River Forecast Center, Salt Lake City, UT

Article in review for publication in *Bulletin of the American Meteorological Association (BAMS)*.

*Current Corresponding Author:
Civil and Environmental Engineering
University of Washington
Box 352700
Seattle, WA 98195-2700
Phone: 206.794.7946
Email: jvano@u.washington.edu

1 **ABSTRACT**

2 The Colorado River is the primary water source for more than 30 million people in the U.S. and
3 Mexico. Recent studies that project streamflow changes in the Colorado River all project annual
4 declines, but the magnitude of the projected decreases range from less than 10% to 45% by the mid-
5 21st century. To understand these differences, we address the question the management community
6 has raised: “*why is there such a wide range of projections of impacts of future climate change on*
7 *Colorado River streamflow, and how should this uncertainty be interpreted?*” We identify four
8 major sources of disparities among studies that arise from both methodological and model
9 differences. In order of importance, these are differences in: (1) Global Climate Models (GCMs) and
10 emission scenarios used; (2) ability of land surface and atmospheric models to simulate properly the
11 high elevation runoff source areas; (3) sensitivities of land surface hydrology models to precipitation
12 and temperature changes; and (4) methods used to statistically downscale GCM scenarios. In
13 accounting for these differences, there is substantial evidence across studies that future Colorado
14 River streamflow will be reduced under the current trajectories of anthropogenic greenhouse gas
15 emissions, due to a combination of strong temperature-induced runoff curtailment and reduced
16 annual precipitation. Reconstructions of pre-instrumental streamflows provide additional insights;
17 the greatest risk to Colorado River streamflows is a multi-decadal drought, like those observed in
18 paleo reconstructions, exacerbated by a steady reduction in flows due to climate change. This could
19 result in decades of sustained streamflows much lower than have been observed in the ~100 years of
20 instrumental record.

21

1 **CAPSULE:** A synthesis of studies related to future Colorado River streamflow projections examines
2 methodological and model differences and their implications for water management.

3

4 **INTRODUCTION**

5 The Colorado River is the primary water source for more than 30 million people in seven
6 rapidly growing, mostly arid U.S. states and Mexico. The Colorado River water supply system,
7 which consists of two large reservoirs (Lakes Mead and Powell) and numerous smaller reservoirs, is
8 already stressed because of growing water demand and an ongoing drought that is outside the
9 historical norm of 20th century climate variability (Fulp 2005; USBR 2011a). Concerns have been
10 voiced that this recent prolonged drought could be a harbinger of a permanent shift to a drier climate
11 (Seager et al. 2007; Barnett and Pierce 2008, 2009; Overpeck and Udall 2010; Cayan et al. 2010;
12 USBR 2011a; among others).

13 Numerous studies of the Colorado River basin’s hydroclimate provide evidence for increased
14 drying, although each study (Fig. 1; Table 1) has its own unique approach and results. Seager et al.
15 (2007) summarize Global Climate Model (GCM) results for the Western U.S., which they state
16 indicate that “this region [southwestern U.S.] will dry in the 21st century and that the transition to a
17 more arid climate should already be under way.” These results, when extracted for the Colorado
18 River basin, which we do in comparisons below, show reductions in runoff of ~19% by the mid-21st
19 century, although Seager and Vecchi (2010) subsequently argued the need for higher resolution
20 modeling to better represent the role of complex topography of the Colorado River headwaters in
21 future climate projections. Other studies using off-line simulation methods also indicate drying with
22 magnitudes of runoff decline that vary widely from as little as 6% (Christensen and Lettenmaier
23 2007) to as much as 45% (Hoerling and Eischeid 2007) by mid-century.

24 Despite indications of consensus of climate models regarding future drying (e.g., NRC 2011),
25 there is still considerable variability in future climate projections – for instance, one-third of 112

1 future climate projections from a set of Intergovernmental Panel on Climate Change (IPCC) 4th
2 Assessment Report (AR4) CMIP3 GCM projections investigated by the USBR (2011a) show no
3 change or increases in Colorado River streamflow, a number which varies depending on the GCMs
4 and emission scenarios used (Harding et al. 2012). Furthermore, more recent work based on
5 Regional Climate Models (RCMs), rather than GCMs, suggest that the sensitivities of streamflow to
6 climate change may be somewhat less in RCMs than GCMs due to the inability of GCMs to
7 represent the high elevation runoff source areas for the Colorado River. These RCM scenarios also
8 mostly suggest reductions in 21st century Colorado River discharge (2040– 2069 relative to 1970–
9 1999), as do their related GCMs (Gao et al. 2011).

10 A sensitivity study by Das et al. (2011) suggests that among the major western U.S. river
11 basins, reductions in discharge caused by warming would be largest in the Colorado River basin.
12 This can be explained by the fact that the semi-arid Colorado basin yields a relatively small
13 increment of runoff relative to the precipitation it receives. Any increase in evapotranspiration, from
14 warmer temperatures or shifts in seasonality, produces a larger percentage loss in the amount left for
15 runoff in the Colorado basin compared to more humid river basins. Also unique to the Colorado
16 basin is the size of its reservoirs relative to annual streamflow, with total storage relative to annual
17 inflow ratios of over 4 (vs. ~0.3 in the Columbia River basin, for example). Therefore, for the basin
18 as a whole, water management implications of runoff change are controlled by annual rather than
19 shorter period discharge volumes, which is fundamentally different than other major reservoir
20 systems. Our analysis, therefore, focuses on annual, not seasonal, changes. This large ratio and
21 current water demands also indicate that adding additional reservoirs will likely not improve basin
22 water supply or water management (Burgess 1991).

23 Collectively, the uncertainties among studies have stimulated an interesting scientific debate,
24 but to many practitioners this appears to be a tangle of conflicting predictions. This poses a serious
25 impediment to water managers, who are faced with securing an adequate water supply in the region.

1 The obvious question to scientists from the water management community is: “*why is there such a*
2 *wide range of projections of impacts of future climate change on Colorado River streamflow, and*
3 *how should this uncertainty be interpreted?*”

4 To understand and reconcile differences in future streamflow projections, we have explored
5 uncertainties in the methodologies and models on which they were based at multiple levels in the
6 climate-hydrology-water resources continuum. We find no single factor can explain the differences,
7 but rather they arise from multiple factors involving differences in methodologies and models. These
8 differences, the nature and implications of which we summarize below, highlight the need for the
9 research community to better identify, effectively communicate, and focus research efforts to reduce
10 climate uncertainties.

11 Future streamflow projections are also complicated by the Colorado River’s large natural
12 variability (Fig. 2). When the Colorado River Compact, which allocated water between the upper
13 and lower basins, was signed in 1922, less than 30 years of streamflow data had been collected, and
14 thus there was little understanding of the system’s natural variability at interdecadal time scales.
15 Since then, methods have been developed to extend the instrumental record based on paleoclimate
16 studies (Fig. 2, lower panels), which now date back to B.C. 268 in some locations in the Western
17 U.S. (e.g., Cook et al. 2004; Woodhouse et al. 2006; Meko et al. 2007a; Routson et al. 2011).
18 Although these paleo reconstructions were not included in the future climate studies mentioned
19 above, these long-term records contain droughts more severe than the historical record and have
20 often been considered proxies for future flows (e.g., USBR 2007a; USBR 2011a), which further
21 increases the impression of conflicting research results. Reinforcing this impression, a recent study
22 suggests that even the existing tree-ring based flow reconstructions may underestimate the magnitude
23 of interdecadal to centennial-scale drought variability (Ault et al. 2013).

24 To address the question posed above, we explore four possible causes for the wide range of
25 future Colorado River projections and follow with discussion of how paleoclimate records relate to

1 future projections. We also discuss the connection of this information to planning and management
2 and conclude by summarizing future research and presenting an interpretation for decision makers.
3 Throughout the paper, we highlight seven “Lessons” that help place individual studies within the
4 broader research context. By providing this context, researchers can reduce uncertainty in the
5 interpretation of results and thus provide information more useful to decision makers.

6

7 **SOURCES OF UNCERTAINTY IN FUTURE PROJECTIONS**

8 We identify four major sources of disparities in future projections (sections below), ranked
9 from greatest to least importance using information from this and other studies. Two sources of
10 disparities arise from differences in the specific GCM projections used (including both differences in
11 the GCMs and emissions scenarios) and differences in the statistical downscaling methodologies
12 (ranked 1 and 4 respectively). These two sources of disparities among studies can be partially
13 addressed by standardizing methodologies. In contrast, the other two - spatial scale and topographic
14 dependencies of climate change projections (ranked 2) and differences in the sensitivities of land
15 surface hydrology model representations to precipitation and temperature change (ranked 3) - are
16 somewhat more complex, and require further analyses. Together these underscore the imperative of
17 gaining a better understanding of uncertainties inherent in the predictions, and the need to better
18 communicate these uncertainties to the larger water management community.

19

20 ***1. Global Climate Model (GCM) and emission scenario selection***

21 Future climate can be represented in many ways, but most climate change assessments rely
22 on GCM output for multiple greenhouse gas emission scenarios (e.g., IPCC SRES A2 from AR4 and
23 RCP 8.5 from AR5 - see Nakic´enovic´ et al. (2000) and Moss et al. (2010) for details). GCM
24 projections vary in their internal model dynamics and thus have wide ranges of precipitation change,
25 as well as in other surface atmospheric variables (e.g., surface air temperature, downward shortwave

1 and longwave radiation). These differences relate to how GCMs represent important physical
2 processes - e.g., stratospheric resolution (Scaife et al. 2011; Karpechko and Manzini 2012) and
3 tropical Pacific sea surface temperature responses to anthropogenic forcing (Seager and Vecchi
4 2010) – as well as natural variability which can operate on timesteps that are multi-decadal or longer
5 (Deser et al. 2012; Karnauskas et al. 2012). Recent studies have dealt with these differences by using
6 projections from multiple GCMs to characterize future projections more fully. The selection of
7 which GCMs are used (e.g., output from over 20 GCMs was archived by the Program for Climate
8 Model Diagnosis and Intercomparison (PCMDI) that were run for the IPCC AR4 has been based on a
9 range of criteria, but often instead of model performance, the GCMs used have hinged upon model
10 output availability at the time the study was conducted. The choice of models and emission scenarios
11 (Table 1), however, can have substantial implications. Some studies have used only one GCM and
12 one emission scenario and therefore have a single projection of future runoff. This was the case in
13 Christensen et al. (2004) who found declines of 18% in the mean annual discharge of the Colorado
14 River by the mid-21st century with the “business as usual” (BAU) global greenhouse gas emissions
15 scenario for the Parallel Climate Model GCM. Later work (Christensen and Lettenmaier 2007,
16 herein referred to as C&L) using similar methods but applied to 11 GCMs with a roughly equivalent
17 emission scenario (A2) found average declines of 6%, with a range from 40% decline to 17%
18 increase. The USBR study (2011a) also used essentially the same approach as in Christensen et al.
19 (2004) but expanded simulations to include 16 GCMs. The A2 emission scenarios average for the
20 USBR’s study (2011a) had declines of 10% at Lees Ferry (slightly upstream of the location for which
21 flows were reported by C&L) (data from J. Prairie, personal communication), which included
22 multiple runs for certain GCMs for a total of 36 simulations that produced a range in mid-21st century
23 annual runoff change from -40% to +21% (see Harding et al. 2012 for additional comparisons).

24 In addition to GCM selection, scenarios for future greenhouse gas concentrations must be
25 specified. This affects the magnitude of temperature and precipitation changes – generally higher

1 concentrations translate to larger temperature increases especially in the latter part of the 21st century.
2 In the IPCC AR4 report, these increasing concentrations (e.g., B1, B2, A1B, A2) were determined
3 through emission scenarios as described by Nakic´enovic´et al. (2000). In the AR5 simulations,
4 emissions are represented somewhat differently -- as representative concentration pathways (e.g.,
5 RCP4.5, RCP6, RCP8.5) (Moss et al. 2010).

6 Fig. 3 demonstrates how both GCM and emission scenario selection can influence results by
7 showing differences in precipitation minus evaporation (P-E, equivalent to runoff in the long-term
8 mean, and E includes evapotranspiration) for different GCMs across the same A1B emission scenario
9 (left panel) and different emission scenarios across the same 11 GCMs (right panel). Data were
10 downloaded from <http://esg.llnl.gov:8080/home/publicHomePage.do> and
11 <http://kage.ldeo.columbia.edu:81> for gfdl_cm2_1 model latent heat. We use a single run from every
12 model, thus weighing all the GCMs' natural variabilities equally, and average values for eighteen
13 2x2° grids that cover the basin, with GCM output regridded to fit a consistent grid. Left panels show
14 P-E anomalies for the A1B scenario from 19 GCMs (top panel) whose output was analyzed by
15 Seager et al. (2007), in comparison with the same P-E anomalies over the same region for the 11
16 GCMs (middle panel) used by C&L (almost two-thirds of the models used by Seager et al., 2007). P-
17 E anomalies in GCMs included in Seager et al. but not in C&L are lower (“Non Union” GCMs,
18 lower panel on left) indicating that Seager et al.’s study included drier GCMs than did C&L.
19 Changes in mid-21st century P-E, averaged across GCMs for 2040-2069 relative to 1950-1999, also
20 indicate that Seager et al. (2007) models had more negative P-E values (-19.4%) than C&L (-13.4%).
21 Fig. 3 differences are strictly from GCM output and are directly comparable, whereas streamflow
22 comparisons between these two studies involve methodological differences (Seager et al. use GCM
23 output similar to what we report here, whereas C&L employed a higher resolution hydrological
24 model which essentially was used, after downscaling, as a post-processor to GCM output).
25 Nevertheless, comparisons of GCM P-E suggest that model selection may be a major source of

1 differences between the Seager et al. (2007) estimated runoff declines (~19%) and the much smaller
2 projected changes reported by C&L (~6% decline for A2, ~7% decline for B1).

3 The two studies also differ in the emissions scenarios they report. Seager et al. (2007) used
4 A1B emissions scenarios, whereas C&L used A2 and B1. Fig. 3, right panels show P-E anomalies
5 for the 11 GCMs in C&L for all three scenarios. For A2, this results in mid-21st century declines of
6 7.8%, which are similar to those reported by C&L (~6%). Similar GCM and emission scenarios are,
7 however, not a guarantee that studies using different methodologies for producing runoff estimates
8 will agree, as demonstrated by B1 scenario changes; C&L, which use a hydrology model to generate
9 runoff, report average runoff declines of ~7% whereas our P-E calculation estimates declines of
10 15.3%. This figure also illustrates how the time period of analysis matters, a 30-year time slice in the
11 mid-21st century (where B1 declines of 15% are greater than A2 of 8%) does not capture the same
12 trend between emission scenarios as in the late-21st century (where the 2070-2099 average B1
13 declines of 10% are less than A2 declines of 17%). This change is due to both the strength of the
14 human-induced signal and random natural climate variability in GCMs, which can either hide or
15 amplify radiatively-forced trends making a climate change signal difficult to detect (see Deser et al.
16 (2012) and Harding et al. (2012) for further discussion). Furthermore, some GCMs archived for
17 IPCC contribute an ensemble of multiple simulations for the same emission scenario. Typically
18 differences between ensemble members are less than differences among different GCMs and
19 emission scenarios, however some GCMs have larger ranges (see Figure 2 in Seager et al. 2007 and
20 Figure 8 in Harding et al. 2012 for examples), implying a need to balance intra and inter model
21 variability in multi-model ensemble estimates.

22
23 **Lesson 1:** Differences between studies are attributable in part to differences in the GCMs used.

24 Differences can arise from: (a) how many and which GCMs were used, and (b) the emission
25 scenarios used and time period over which changes are analyzed. In the Colorado basin, most AR4

1 GCMs project declines in (annual) precipitation (with the headwaters being close to the nodal line of
2 drying to the south and wetting to the north, which implies greater uncertainty and an increased
3 likelihood that results in future studies (e.g., AR5) may differ) and increases in temperature, although
4 the magnitude of change depends strongly on which GCMs and emission scenarios are used. Natural
5 variability can mask climate signals, but on the whole, higher future greenhouse gas emissions
6 translate to a warmer, and in most cases, drier climate, with larger decreases in Colorado River
7 streamflow.

8

9 ***2. Spatial scale and topographic dependence of climate change projections***

10 Runoff production in the Colorado River varies greatly across the complex terrain and
11 climate of the basin, and also changes markedly with season and year. About 85% of the basin's
12 runoff is produced from about 15% of its area – mostly in the high-elevation headwaters region
13 (Christensen and Lettenmaier 2007). Furthermore, although summer and winter precipitation on
14 average are roughly equal basin-wide, winter precipitation is much greater in the headwaters and
15 more efficiently produces runoff than does summer precipitation. Differences in the ability of models
16 to represent the disproportionate contribution to Colorado River discharge of the relatively small
17 high-elevation source areas can have important effects on a model's sensitivities to climate change.
18 Off-line hydrology model simulations, which are often employed to increase spatial resolution to
19 better capture the hydrologic dynamics of the headwaters region (which reflect highly variable
20 topographic, soil, and vegetation characteristics) use techniques such as Penman Monteith,
21 Thornthwaite, or related methods to estimate potential evapotranspiration and are, by construct, not
22 coupled with (and hence constrained by) the climate system. On the other hand, moisture recycling
23 within the Colorado Basin (one indicator of the constraining role the system might play) has been
24 estimated to be quite small -- less than 3% by Trenberth (1998).

25

1 *i. Simulations of land processes*

2 We used a simple water balance model (McCabe and Markstrom 2007) to demonstrate how
3 sensitive runoff is to the spatial resolution of climate forcing data (Fig. 4) – and hence, the ability of
4 models to resolve high elevation runoff source areas within the Colorado basin. This model, referred
5 to as the Thornwaite water balance model (TWB), was previously used by McCabe and Wolock
6 (2007) to investigate how future warming might impact Colorado basin water supply. They ran the
7 model for each of the 62 U.S. Geological Survey hydrologic cataloging units (HUC8 subbasins)
8 above Lees Ferry, with monthly precipitation and temperature aggregated for each HUC8 from
9 Parameter-elevation Regressions on Independent Slopes Model (PRISM) data (PRISM Climate
10 Group 2004). The model was calibrated by tuning basin-wide parameters that govern snow
11 accumulation, snowmelt, and runoff. We used the model version and parameters from McCabe and
12 Wolock (2011) and the same gridded climate forcing dataset used in Vano et al. (2012), with climate
13 forcings aggregated at four spatial resolutions ($1/8^\circ$, $1/2^\circ$, 1° , and 2° latitude-longitude spatial
14 resolutions). We calculated runoff averaged over the period 1975-2005 and calculated temperature
15 sensitivities at each resolution using a 0.1°C increment change as in Vano et al. (2012).

16 In our simulations, as the model resolution was increased from 2° to $1/8^\circ$, the simulated
17 runoff from the Upper Basin increased from an average of 73 mm/year to 107 mm/year, an increase
18 of 45% (Fig. 4, black line). Similarly, as model resolution increased, the basin's sensitivity to
19 temperature increases became less negative – in other words, higher resolution simulations were less
20 sensitive to temperature change (Fig 4, orange line). We believe that the mechanisms that underlie
21 the sensitivity's dependence on spatial resolution are twofold (keeping in mind that these are offline
22 simulations; i.e., the land surface is forced by, but does not feed back to, the atmosphere): (1) Higher
23 resolution simulations are colder in the headwaters where a majority of the basin's runoff is
24 generated; these colder temperatures accumulate larger snowpacks that more efficiently generate

1 runoff because their larger spring pulses are more likely to be associated with soil saturation, and (2)
2 in coarser resolution simulations the highest elevations are lower, hence temperatures are warmer,
3 which results in higher rates of evaporation and more rain than snow, which increases water
4 availability and subsequently evaporation. The results shown in Fig. 4 are qualitatively similar to
5 those reported in Hoerling et al. (2009) in their re-evaluation of Hoerling and Eischeid's (2007)
6 results, where they used a high spatial resolution model, and found considerably smaller projected
7 future climate runoff declines than in their earlier (coarse resolution) study.

8 More detailed process-based hydrology models, e.g., the Variable Infiltration Capacity model
9 (VIC), account for the effects of finer-spatial scale topographic variations through the use of
10 elevation bands (i.e., meteorological forcings are adjusted according to a lapse rate and snow
11 processes simulated at multiple elevations within a single grid). Haddeland et al. (2002) found that
12 in the Columbia River basin, which also has snowmelt-dominated hydrology, when elevation bands
13 were not used, VIC runoff simulated at a coarse 2° spatial resolution was 15% lower than simulations
14 at much higher ($1/8^\circ$) resolution, but when elevation bands (at 200 m elevation intervals) were
15 represented, the difference was reduced to 4%. In other words, whether through finer grid resolution
16 (2° vs. $1/8^\circ$) or by use of elevation bands, a hydrologic model's representation of the effects of
17 orography can strongly affect hydrologic predictions at the basin scale in topographically complex
18 river basins.

19

20 *ii. Simulations of atmospheric processes*

21 Atmospheric models are also affected by complex terrain that can affect how temperature
22 changes translate to changes in runoff. In the Colorado River headwaters in particular, estimates of
23 future flow will benefit from using high-resolution atmosphere models to simulate high-elevation
24 snow (as suggested by Seager and Vecchi 2010). RCMs simulate processes similar to GCMs but at

1 a much finer resolution. For this reason, computational requirements have often constrained the time
2 period and spatial domain for RCM simulations – although this restriction has been relaxed
3 somewhat in recent studies like the North American Regional Climate Change Assessment Program
4 (NARCCAP; Mearns et al. 2012). Many studies with RCMs have highlighted the importance of
5 representing the effects of complex terrain in climate simulations, particularly the implications of
6 snow processes (e.g., Rauscher et al. 2008; Rasmussen et al. 2011; Gao et al. 2011, 2012; Dominguez
7 et al. 2012; Wi et al. 2012). For example, Rasmussen et al. (2011) found a spatial resolution coarser
8 than 6 km results in overestimating low-elevation and underestimating high-elevation snowfall in the
9 Colorado River headwaters by 20 to 40%. How an improved regional simulation of snow processes
10 in topographically complex regions translates into more realistic regional climate change sensitivity
11 has yet to be determined. Although this might be done in a regional climate modeling context, a
12 consensus on RCM sensitivities to climate change in the Colorado River Basin does not yet exist
13 (e.g., Rauscher et al. 2008; Gao et al. 2011; Rasmussen et al. 2011; Gao et al. 2012). Furthermore,
14 complications with specification of boundary conditions suggest that it might be better attempted
15 through use of global simulations over a range of spatial resolutions spanning the approximate range
16 in our Fig. 5. Racherla et al. (2012) note, for instance, in a more general analysis of the added value
17 of RCM downscaling “that there is not a strong relationship between skill in capturing climatological
18 means and skill in capturing climate change”, and we believe that this is a central unresolved issue in
19 the context of understanding the climatic sensitivity of snow dominated mountainous regions.

20

21 **Lesson 2:** Spatial resolution of both land surface and atmospheric models is critical to realistic
22 representation of the changes in future hydrology of the Colorado basin - both mean conditions and
23 variability. The basin’s headwater areas accumulate much of the precipitation that is available for
24 runoff, an effect amplified by reduced evaporation resulting from colder temperatures and snowpack
25 at these elevations. As a result, the water fluxes from models with coarser spatial resolution tend to

1 be more sensitive to change from both warming and precipitation reductions, the details of which
2 warrants further investigation. Runoff changes (not magnitudes) calculated directly from GCM
3 output (~200 km spatial resolution at present) or other methods based on basin-wide area average
4 temperature and precipitation change likely overestimate runoff change sensitivities, and should be
5 interpreted with considerable caution.

6

7 ***3. Land surface representations***

8 In the Colorado River basin, there is a substantial range in the sensitivity of different land
9 surface hydrology models to changes in climatic variables – that is, the fractional change in runoff
10 associated with a given precipitation or temperature change. Vano et al. (2012), for instance,
11 compared the land surface response of five land surface models (LSMs), with two versions of Noah,
12 to changes in precipitation and temperature (Fig. 5). Changes in precipitation are magnified in runoff
13 changes, with lower flows being more sensitive to precipitation changes. This sensitivity can be
14 expressed as an elasticity, defined as percent change in annual model runoff divided by percent
15 change in annual precipitation. Vano et al. (2012) found elasticities ranging from a little over 2 to 6
16 at Lees Ferry depending on the model and reference condition (the derived value from observations
17 is ~2), and the elasticities among models were reduced to between about 2 to 3 when model biases
18 (mostly underestimates of runoff) were accounted for. Similarly, the sensitivity of modeled runoff to
19 temperature, defined as percent change in annual runoff for an imposed increase in annual
20 temperature, differed among LSMs from about -3% to -10% per °C increase in annual basin-average
21 temperature, with no evident change in the range of sensitivities with model biases.

22 The temperature sensitivity depends on the model's physical parameterizations. When
23 temperature changes were applied only to the maximum temperature instead of holding the
24 temperature range constant - an experiment that effectively generated larger changes in net radiation

1 and vapor pressure deficit - temperature sensitivities roughly doubled for most models. Although
2 there were substantial spatial variations in temperature sensitivities (and precipitation elasticities),
3 differences among models generally were smaller in the headwater regions that produce most of the
4 runoff. The form of precipitation (rain or snow) was dependent on temperature, but this was
5 consistent across models.

6 In addition to elasticities and temperature sensitivities reported in Vano et al. (2012), we
7 computed the same metrics for two other hydrological models at Lees Ferry (also in Fig. 5). The
8 National Weather Service Colorado Basin River Forecast Center operational version of the
9 Sacramento Soil Accounting model (SAC; Burnash et al. 1973) is essentially a spatially lumped (for
10 29 Colorado River sub-basins) version of the SAC distributed version reported by Vano et al. (2012).
11 The operational version had an elasticity of 2.4 (vs. 2.6 for the distributed version) and temperature
12 sensitivity of -4% (vs. -5%) per °C of annual warming. This is an updated version of the model used
13 by Nash and Gleick (1991). We also calculated sensitivities using the simple Thornwaite water
14 balance (TWB) computation described in section 2. This is a slightly updated version of the model
15 used by McCabe and Wolock (2007) in their study of climate change in the Colorado basin, with key
16 differences being that we applied the model using the same 1/8° grid mesh, climate dataset, and
17 +0.1°C temperature perturbation used by Vano et al. (2012). McCabe and Wolock (2007) found a
18 basin-wide 0.86° C temperature increase resulted in an 8% streamflow reduction (equivalent to -9%
19 per °C), whereas we found a 5% decline per °C using a +0.1°C temperature change. They did not
20 report precipitation elasticity; our result was 2.0. Generally, values reported in Fig. 5 are also
21 similar to values in Figure 3 of Tang and Lettenmaier (2012) for Colorado basin-wide values of
22 elasticity (between 1.8 and 2.2) whereas their temperature sensitivities (between -2% and -4% per °C
23 derived using regression equations from GCM output) are somewhat smaller in absolute value.

24 To test for robustness, we also calculated hydrologic sensitivities using different historical

1 climate datasets and time periods. Specifically, we calculated precipitation elasticities and
2 temperature sensitivities using the historical gridded datasets of Maurer et al. (2002), Hamlet and
3 Lettenmaier (2005), Wood and Lettenmaier (2006), and the PRISM Climate Group (2004) as
4 described in Daly et al. (1994), averaged across different time periods with varying lengths (e.g.,
5 1975-2005, 1990-1999, 1895-2006). In general, runoff change differences are considerably less
6 sensitive to differences in historical datasets than they are to differences in hydrology models (and
7 how net radiation and vapor pressure forcings were derived).

8

9 **Lesson 3:** Land surface hydrology models exhibit substantial differences in their sensitivities of
10 runoff to temperature increases (approximately $-6.5 \pm 3.5\%$ per $^{\circ}\text{C}$ at Lees Ferry). Responses to
11 precipitation change, when runoff biases are accounted for, are more consistent across models
12 (between 2 to 3 at Lees Ferry). Differences in precipitation elasticity and temperature sensitivities
13 among models are generally smaller in the headwater regions than elsewhere in the basin, although
14 further research is needed to better understand these differences and how they relate to observations.
15 In general, differences in precipitation elasticity and temperature sensitivity are independent of the
16 datasets and historical periods for which evaluations are conducted.

17

18 ***4. Statistical downscaling methods***

19 To represent hydrologic processes, such as snow accumulation and ablation, spatially
20 distributed hydrologic models need inputs of order 10-20 km spatial and sub-daily temporal
21 resolution. In contrast, current generation GCMs have spatial resolutions of ~ 200 km. Furthermore,
22 while the computational time step of most GCMs is 1 hour or less, GCMs generally do not produce
23 physically realistic precipitation (e.g., daily drizzle, storm interarrival times), which is the primary
24 driver of the land surface hydrologic system, at time steps $\ll 1$ month. Through IPCC AR4, most

1 GCM output was archived as monthly values; hence temporal disaggregation to daily values is also
2 required. The procedures that produce hydrologic forcings at appropriate spatial and temporal
3 resolutions are usually referred to as statistical downscaling. They are essential for hydrological
4 modeling even if dynamical downscaling (e.g., based on RCMs rather than GCMs) is used (Wood et
5 al. 2004).

6 Statistical downscaling methods carry with them sources of uncertainty. We contrast here the
7 Bias Correction and Spatial Disaggregation (BCSD) method, used in several studies noted above
8 (including Christensen et al. (2004), Christensen and Lettenmaier (2007), and USBR (2011a)) and
9 the “delta method”, described in Hamlet et al. (2010). We recognize that there are an increasing
10 number of statistical downscaling methods (see Future Research Directions section) where each
11 method has strengths and weaknesses that make it more or less appropriate for particular studies.
12 Hamlet et al. (2010) discuss strengths and weaknesses of the BCSD and delta methods.

13 The BCSD method as described by Wood et al. (2004) is the most common approach in
14 previous studies of the Colorado Basin. The method maps the probability distribution of modeled
15 historical precipitation and temperature to the probability distribution of observations, i.e. if the
16 modeled current climate precipitation in a given month and year is the xth percentile of the climate
17 model’s (historical) distribution, this is adjusted to the xth percentile of the historical precipitation
18 distribution for that month. This approach can be subdivided into bias correction techniques and
19 spatial disaggregation methods (as in USBR (2011b)), but for our purposes we consider this as a
20 single downscaling method. In contrast, the delta method applies changes in mean monthly
21 precipitation and temperature between current and future climate simulations, and applies those
22 differences (typically as means ratios for precipitation and means differences for temperature) to a
23 record of historical observations.

24 We contrast the BCSD approach as used in Christensen and Lettenmaier (2007) with the
25 delta method based on 30-year average monthly changes in temperature and percent changes in

1 precipitation for 2040-2069 compared with 1950-1999 across the basin, applied to gridded historical
2 (monthly) observations for 1950-1999 generated by Maurer et al. (2002). These monthly delta
3 changes are then applied to every day in the historical record to create a future simulation (a 50-year
4 time series of 2040-2069 climate change). Fig. 6 compares the percent difference in flows at Lees
5 Ferry for individual GCM simulations using these two methods to generate input for the VIC
6 hydrologic model, as used in Christensen and Lettenmaier (2007). The delta method tends to
7 generate larger declines in future flows (by a factor of almost two on an annual average basis) than
8 the BCSD method. The 11-model average decline is 7% at Lees Ferry with the BCSD method
9 compared to 13% for the delta method for the A2 scenario. For B1 scenario results (not shown),
10 BCSD had average declines of 8% while the delta method had declines of 11%. The differences
11 between downscaling methods (which is at most 15% for either scenario, orange bars on Fig. 6) are,
12 however, considerably less than the differences of mid-21st century downscaled GCM responses
13 relative to historical streamflows, which have a BCSD range of -42% to +18% (with an interquartile
14 range of -17% to +4%) for A2 and -26% to +16% (interquartile range -16% to 0%) for B1. The
15 important aspect of an appropriate downscaling approach is that it reproduces space-time attributes of
16 GCM changes. In this example, in the Colorado the spatial distribution and broad temporal
17 characteristics (seasonal) matter. The BCSD method and related approaches, see Future Research
18 Direction section, captures this in a more sophisticated way than the delta method and therefore
19 arguably is more desirable.

20

21 **Lesson 4:** The choice of downscaling method can affect the magnitude of the derived climate signal,
22 leading to differences in measures such as long-term projected runoff changes that for some
23 individual ensemble members can be comparable to differences among individual GCMs, although,
24 on average, these differences are smaller. Differences in downscaling methods also translate to
25 important differences in seasonal changes and extreme events. Downscaling methods should

1 therefore be carefully evaluated and selected. Unlike GCMs selection where multiple models
2 delineate the range of future projections, the most appropriate downscaling technique depends on
3 what questions a study intends to address.

4

5 **PAST RECORDS PROVIDE CONTEXT FOR FUTURE PROJECTIONS**

6 When planning for the future, process-based models reflect our best scientific understanding
7 of future impacts. It is, however, also helpful to look to the past, particularly given emerging
8 evidence that GCMs may underestimate the risk of decadal and multi-decadal drought (Ault et al.
9 2012). Records of past flows and droughts, including paleoclimatic records, are being used
10 increasingly in the Colorado River basin to help managers plan for similar events in the future (e.g.,
11 Woodhouse and Lukas 2006; USBR 2007a). Droughts in the 1930s and 1950s are commonly used in
12 planning, but provide only a limited perspective of what could occur in the future. Paleoclimate
13 reconstruction methods extend the record backwards in time well prior to the beginning of the
14 instrumental record. These studies provide more understanding of the range of drought variability,
15 for instance, than is possible solely through examination of the instrumental record (e.g., Woodhouse
16 and Lukas 2006). Stockton and Jacoby (1976) were the first to reconstruct streamflows at Lees Ferry
17 with a tree-ring analysis that extended back to 1512. The reconstructed annual hydrographs caused
18 concern -- as their abstract cautioned, “[w]hen the results of our analysis are viewed in the context of
19 future demand for water usage in the Upper Colorado River Basin, it is apparent that projected
20 demand could soon outstrip the natural annual supply of surface water.” This study has been
21 followed by many others, which refined reconstruction methods and extend the record further
22 (Hidalgo et al. 2000; Woodhouse et al. 2006; and others). Meko et al. (2007a) produced a 1200-year
23 dataset for the entire Upper Colorado basin, and recently, Routson et al. (2011) generated a 2200-
24 year tree ring reconstruction for the nearby Rio Grande headwaters region in Colorado. Although
25 methods and datasets used in reconstruction studies differ, they all indicate that the period used in the

1 1922 Colorado Compact to determine water allocations was exceptionally wet (Woodhouse et al.
2 2006) and that the basin has a history of multi-decadal dry periods, referred to as ‘megadroughts’
3 (Fig. 2).

4 Paleoclimate records are key to assessing future projections by providing a longer record for
5 context, helping to understand underlying climate mechanisms, and providing a framework for
6 evaluating how well models simulate the full range of past observed change. For example, the
7 leading hypotheses for megadroughts has been shifts in tropical sea surface temperatures (Graham et
8 al. 2007, 2010; Seager et al. 2007, 2008; Conroy et al. 2009; Oglesby et al. 2012) caused either by
9 natural variability or a response to solar irradiance and volcanism variations (Emile-Geay et al.
10 2008). Independent of climate change considerations, paleo-data prove it is realistic to expect
11 conditions outside the range of recorded streamflow measurements – simply stated, there is reason to
12 believe megadroughts will occur again (Woodhouse et al. 2010), although new work suggests that
13 existing tree-ring reconstructions (including of past streamflow) likely underestimate the full
14 magnitude of interdecadal to centennial-scale drought variability (Ault et al. 2013). Information on
15 the length, duration, and extent of drought can also help identify global weather patterns that result in
16 longer dry periods (e.g., variations in sea surface temperatures) (Hidalgo 2004; Woodhouse and
17 Overpeck 1998). Advances in techniques, multiple indicators, and more paleoclimate data, has
18 improved the ability to understand the nature of seasonal changes in hydrologic conditions and land
19 surface conditions such as snowpack (Pederson et al. 2011).

20 As with future GCM-based projections, paleo reconstructions using tree rings also have
21 uncertainties and limitations. For instance, relationships between radial growth and streamflow
22 generally do not account for some climate factors such as temperature through growing season length
23 and snowpack storage of available moisture (Meko et al. 2007a). Variations in reconstruction
24 methods are reflected in reconstructed streamflows, especially in extreme years (Woodhouse and
25 Brown 2001). Future and current drivers of hydro-climatic variability may also be novel with respect

1 to the paleo record (Woodhouse et al. 2010); examples include anthropogenic influences on climate,
2 as well as land cover changes such as irrigated agriculture, grazing, dust on snow, urbanization,
3 changing fire regimes through fire suppression, bark beetle infestations, and human ignition. Past
4 droughts are also unlikely to be identical to current and future droughts (Woodhouse et al. 2010).
5 There is evidence of this already in that past megadroughts seem to be driven primarily by
6 precipitation anomalies – whereas in the current drought, temperature appears to be playing a more
7 important role (Cook et al. 2010; Woodhouse et al. 2010). This underscores the need for further
8 attention to the role of temperature, such as in investigations by Cook et al. (2011) of the early 20th
9 Century pluvial.

10 Interpretation of megadroughts in a future context is somewhat complicated by the fact that
11 the relative role of anomalously low precipitation (detected in most paleo droughts) is in contrast
12 with the role of increasing temperature (a key element in the ongoing Colorado River drought, and
13 implicated in future projections). Nonetheless, the superposition of megadroughts, as seen in paleo
14 projections, and a steady reduction in flows due to climate change should be considered in future
15 planning.

16

17 **Lesson 5:** To understand future streamflow and subsequent uncertainties, a comprehensive approach
18 should be taken, including analyses of paleoclimate reconstructions as well as future projections.
19 Together these two lines of discovery can be used to explore the basin’s response to megadrought-
20 like reductions in precipitation compounded by anthropogenic climate change, the real ‘worst-case’
21 scenario.

22

23 **PLANNING AND MANAGEMENT IMPLICATIONS**

24 Planning in the face of uncertainty, across multiple spatial and temporal scales, is not a new
25 problem for water managers. However, most water resources planning protocols are based on a

1 fundamental assumption of stationarity, which implies that time-invariant statistical characteristics
2 adequately represent expectations for the future (Salas 1993). Climate change and new
3 paleoclimatological records belie the appropriateness of this stationarity assumption (Hartmann
4 2005; Milly et al. 2008), and while model-based studies offer the best prospect for uncovering likely
5 future conditions not contained in the historical record, they do not provide definitive answers for
6 decision makers.

7 Climate change science advances by using different approaches that are often not easily
8 reconciled, while planners prefer clear, explicit characterizations of uncertainties that can be directly
9 incorporated into risk-based calculations. Reconciliation of this dichotomy is a challenge that
10 requires effort from both the science and management community (see supplementary text for
11 specific examples from our work). It calls for more “actionable science” that is “sufficiently
12 predictive, accepted, and understandable to support decision-making, including capital investment
13 decision-making” (Behar 2009; Kerr 2011). It also implies exploring adaptation strategies that can
14 proceed from a focus on the certainties presented by multiple studies, rather than uncertainties (in our
15 effort we provide such messages for decision makers, see Interpretations for Decision Makers
16 section). Capital investment decisions, particularly for projects that cannot be implemented
17 incrementally and that have irreversible or multi-decadal consequences, present a more intractable
18 challenge for actionable science. These decisions need to require approaches that accommodate high
19 uncertainty, complex systems, and ambiguity of model evidence, such as strategic scenario planning
20 (Mahmoud et al. 2009) and the need for discussion about tradeoffs between stranded costs, the costs
21 of inaction, and the timeframe under which investments are evaluated for meeting criteria, e.g.,
22 effectiveness and economic feasibility. Additionally, decision makers may not lack options, but
23 rather need support from their constituencies for implementation of options that differ from past
24 practice, in which case well-communicated science can be useful in building support.

25 It is also important to recognize that implications of climate uncertainties are not fully

1 assessed by understanding hydrologic impacts. Considerations of different management strategies or
2 planning options require hydrology model outputs be run through water management models that
3 include physical processes (e.g., streamflow, solute transport), infrastructure (e.g., reservoirs,
4 diversions), and policies (e.g., minimum instream flow requirements). Although uncertainties may be
5 too great to satisfy design studies, management models (e.g., Colorado Rivers Simulation System
6 (USBR, 2007b)) can identify unanticipated sensitivities and thresholds in these complex systems, and
7 can be used to evaluate tradeoffs among options. Recent work by Brown and Wilby (2012) has
8 proposed a “bottom up” approach that, rather than being based solely on GCM information, uses
9 distributional mapping to perturb historical probability distributions of streamflow. This may be a
10 practical approach to identifying system vulnerabilities to climate risk that complements the
11 information gained from a scenario-led strategy.

12

13 **Lesson 6:** The water/climate research and water management communities need to work more
14 closely to generate the actionable science needed for planning and other decision making.
15 Collaboration is particularly important under non-stationary conditions in order to develop and
16 update information that allow management tools to represent the various sources of uncertainty. It is
17 crucial that the management community seek decision approaches that accommodate uncertainty,
18 complex systems, and ambiguity of model evidence, such as strategic scenario planning.

19

20 **FUTURE RESEARCH DIRECTIONS**

21 Fig. 1 conceptualizes how the climate change studies mentioned above relate to each other
22 and where future research might progress within the land-atmosphere continuum. For each study it is
23 important to consider: (1) what emission scenarios, (2) what spatial scale and time period, (3) how
24 many and which models (GCMs, RCMs, and hydrology), and (4) which methods of statistical
25 downscaling and regression equations were used (Table 1). Ongoing and future research will offer

1 additional insights into these various elements and help better understand how future streamflow
2 projections can be used by water managers. We present seven key areas where research is evolving,
3 which are intended to provide an overview of ongoing work, not a ranking of research priorities.
4

5 *i. New climate change projections:* PCMDI is now making available climate change simulations
6 produced for the IPCC Fifth Assessment Report (AR5). The archive includes daily and monthly
7 model output for most models (in contrast to AR4, for which the norm was monthly output) with
8 longer multi-century control simulations, which are forced by known and estimated changes such as
9 irradiance and volcanism, that can be used to compare GCMs to paleoclimate data. These new
10 simulations will provide a basis for improving upon the AR4 simulations used in most studies cited
11 above. For example, Seager et al. (2012) evaluate precipitation, evaporation, runoff, and soil
12 moisture from AR5 GCM output. These AR5-based scenarios should provide better estimates of
13 how human emissions of greenhouse gases (e.g., via burning of fossil fuel) translate to changes in
14 Colorado River discharge and should improve on the state of climate science represented in earlier
15 scenarios. Furthermore, they should better represent stratosphere-troposphere coupling processes of
16 relevance to the mid-latitude storm track, as well as tropical Pacific sea surface temperature
17 variability and change, and have improved spatial resolution relative to AR4. Nonetheless, there will
18 remain inherent uncertainty in GCMs as in earlier assessments (e.g., Seager and Vecchi 2010; Ault et
19 al. 2012) and natural variability will continue to complicate identification of trends (Deser et al.
20 2012; Knutti and Sedláček 2012). Thus, ensemble approaches will continue to be needed to
21 delineate the range of future projections (see e.g., Mote et al. 2010).

22 *ii. Increased spatial resolution of climate models:* Many GCMs have increased spatial resolution for
23 the new the CMIP5 simulations (Seager et al. 2012). Additionally, RCMs with higher spatial and
24 temporal resolution have been run over the Colorado River basin (e.g., Rasmussen et al. 2011), and
25 generally provide more realistic representations of climate features (such as storm tracks and jet

1 stream patterns) that are strongly topographically dependent. These higher resolution simulations
2 have important implications for the associated hydrologic impacts of climate change. Examples
3 include the NARCCAP archive (Mearns et al. 2012) as well as recent work by Dominguez et al.
4 (2012) and Wi et al. (2012).

5 *iii. New statistical downscaling techniques:* BCS D has been the standard approach in many of the
6 key studies we have reviewed. There are, however, alternative approaches such as Constructed
7 Analogs (Hidalgo et al. 2008; Maurer et al. 2010), Multivariate Adapted Constructed Analogs
8 (MACA; Abatzoglou and Brown 2012), and Hybrid-Delta (Hamlet et al. 2010) that are becoming
9 more widely used and may constitute an additional source of uncertainty in future studies. PCMDI's
10 archive of daily GCM output will make alternative downscaling methods more viable, but will also
11 require more evaluation of the appropriate applications of alternative approaches and how they relate
12 to each other.

13 *iv. Improved land surface simulations:* LSMs continue to evolve and better represent land surface
14 processes (e.g., Niu et al. 2011; Livneh et al. 2011). For example, the Unified Land Model (ULM)
15 combines the atmospheric exchange process of the Noah LSM with the surface water budget
16 components of the SAC hydrology model (Livneh et al. 2011). Additionally, the coupling of
17 atmospheric models with dynamic vegetation models will help better understand potential land cover
18 feedbacks (Diffenbaugh 2005).

19 *v. New paleoclimate reconstructions and model evaluation:* New records and new techniques
20 continue to reveal better understandings of the climate of the past 2000 years, which can feed into
21 more rigorous model evaluation efforts (e.g., Ault et al. 2012); and be used to understand how well
22 state of the art GCMs capture the realistic risk of multi-decadal megadrought. For example, it is
23 essential to test the new results of Ault et al. (2013) that indicate that current models (e.g., CMIP5)
24 do not capture the full range of possible interdecadal to intercentennial drought risk.

1 *vi. Improved observational records:* Observations are crucial to improving our understanding of
2 how future streamflow will respond to a changing climate. Of particular importance is extending the
3 length and continuity of observational time series especially in areas that are not well represented by
4 the existing stream gauge network, observations in critical zones, and new observations to better
5 understand snowpack, precipitation, evaporation, transpiration, sublimation and how these processes
6 are affected by land cover change (e.g., dust on snow, changing vegetation) and topography. The
7 impacts of these changes are becoming better understood (e.g., Painter et al. 2010) and could be
8 incorporated to help improve future simulations and adaptation planning.

9 *vii. Strengthened connection with the management community:* Our efforts as well as past studies
10 (e.g., Waggoner 1990; AWWA 1997; Kirchhoff 2010; NRC 2010; and others) highlight the
11 importance of sustained networks for connecting active research efforts and water resources
12 practitioners (see supplementary text). Ongoing efforts allow scientists to engage with decision
13 makers and gather feedback that can provide guidance for future priorities as science and adaptation
14 progress. Better understanding how this can efficiently and effectively occur is an important line of
15 research.

16
17 **Lesson 7:** As climate science evolves, our understanding of future uncertainties will continue to
18 improve. However, the evidence indicates there is no single magic bullet that will “reduce
19 uncertainties”, nor will uncertainty ever be reduced to zero. Therefore, it is critical that both
20 researchers and water managers redouble efforts and research to incorporate uncertainty and
21 reconcile differences in future projections when possible. This will require continued
22 communication and collaboration between the management and science communities, and will
23 require scientists to more clearly articulate how their studies fit into existing knowledge, and explain
24 how and *why* their studies do or do not agree with past work.

1

2 **INTERPRETATIONS FOR DECISION MAKERS**

3 While many studies over the last few years have projected future declines in Colorado River
4 streamflows, the magnitude of the projected changes varies greatly. In response to our call for
5 scientists to communicate more clearly with decision makers, we identify statements in which we
6 have confidence, instead of just statements of uncertainty. These statements include implications for
7 water resources planning and management based on multiple studies, which provide a path toward
8 actionable science for decision makers. From our evaluation of past studies, we can say with high
9 likelihood that:

- 10 • Temperatures will rise in the Colorado River basin over the coming decades, as indicated by all
11 AR4 GCMs, for all emission scenarios.
- 12 • As indicated by most AR4 GCM projections for the Colorado River basin (more so than for
13 most of the conterminous United States), precipitation will decline on an annual basis. Because
14 the Basin is at the nodal line of drying to the south and wetting to the north, there is a wide
15 range in the projected magnitude of reductions (and a minority of GCMs that project increases)
16 and results in future studies (e.g., AR5) may differ.
- 17 • The magnitude of temperature and precipitation response depends on the intensity of future
18 human greenhouse gas emissions, with larger emissions resulting in larger increases in
19 temperature and a greater likelihood of precipitation declines. AR4 estimates project
20 temperature increases of $2.5\pm 1^{\circ}\text{C}$ with $-4\%\pm 12\%$ changes in precipitation for high emissions
21 scenarios and temperature increases of $2\pm 1^{\circ}\text{C}$ with $-2.5\%\pm 6\%$ changes in precipitation for low
22 emission scenarios by the mid-21st century (Cayan et al. 2013).
- 23 • Warmer temperatures alone (ignoring possible changes in precipitation) will reduce annual
24 runoff production in the Colorado River basin. For example, our evaluation of multiple

1 hydrological models estimates streamflow declines of $6.5\% \pm 3.5\%$ per $^{\circ}\text{C}$ at Lees Ferry. If we
2 apply this to estimates of mid-21st century warming of $+2.5 \pm 1^{\circ}\text{C}$, we estimate a future
3 streamflow change that ranges approximately from -5% to -35%.

- 4 • The ratio of annual runoff change to annual precipitation change (precipitation elasticity) at
5 Lees Ferry is between about 2 to 3, based on our evaluation of multiple hydrological models
6 and observations. This means that a 5% decline in precipitation will likely result in a 10% to
7 15% decline in streamflow, in addition to the temperature driven declines.
- 8 • The coarse spatial resolutions of current state-of-the-art GCMs and even RCMs do not resolve
9 the scales of high elevation hydrologic processes that dominate runoff production in the
10 Colorado River Basin. This necessitates downscaling and investigation of future climate
11 implications using off-line hydrological model simulations.
- 12 • Natural variability in paleoclimate reconstructions clearly indicate that the modern climate can
13 produce prolonged multi-decadal dry periods (megadroughts). This type of drought,
14 exacerbated by a steady reduction in flows due to ongoing climate change, would result in
15 decades of sustained streamflows much lower than have been observed in ~100 years of
16 instrumental record.

17

18 **CONCLUSIONS**

19 We have identified four major reasons for discrepancies in past projections of changes in
20 Colorado River streamflow. In order of importance, these are differences in:

21 1) the GCMs and emission scenarios on which the climate scenarios are based;

22 2) the ability of the land surface and atmospheric models used to simulate properly the

23 disproportionate contribution to Colorado River discharge of the relatively small high elevation

24 runoff source areas;

1 3) the sensitivities of the land surface hydrology models to precipitation and temperature
2 changes; and

3 4) the methods used to statistically downscale (both spatially and temporally) the GCM
4 scenarios.

5 Projections of future climate change impacts on Colorado River streamflow will always be
6 uncertain, despite future research that will offer new insights, and may reduce uncertainty somewhat.
7 It is thus important that water management decision making consider approaches that accommodate
8 uncertainty and ambiguity of model evidence. It is also important that scientists more clearly
9 articulate how their studies fit into the existing body of knowledge, explaining how and *why* their
10 studies do or do not agree with past work. Scientists also need to reframe discussions when engaging
11 decision makers to focus on certainties characterized by multiple studies and their implications for
12 water resources planning and management.

13 Overall, the IPCC AR4 global simulations suggest substantial reductions in future Colorado
14 River streamflow by the end of the 21st century, due to a combination of strong temperature-induced
15 runoff curtailment and a probable reduction in annual precipitation. An increasing number of IPCC
16 AR5 climate model results are now available (e.g., Seager et al. 2012) and will shed additional light
17 on the nature of future Colorado River streamflow changes; the methods outlined herein provide a
18 template for evaluation of the AR5 model implications, and should help to reduce uncertainty in their
19 interpretation. In addition to this, paleoclimate reconstructions clearly indicate that there have been
20 prolonged multi-decadal dry periods that created megadroughts not seen in ~100 years of
21 instrumental record. The superposition of such megadroughts on a continued trend of warming, and
22 possible precipitation declines, should be viewed as the most realistic ‘worst case’ scenarios for
23 future planning.

24

25 **ACKNOWLEDGEMENTS**

1 The authors thank David Meko, James Prairie, Drew Peterson, Cody Routson, and Connie
2 Woodhouse for their assistance, and numerous water managers for their encouragement to write a
3 synthesis paper. We also thank Richard Seager from Lamont-Doherty Earth Observatory of
4 Columbia University, Steve Markstrom from the U.S. Geological Survey, and three anonymous
5 reviewers for their feedback. The research is the product of a NOAA Regional Integrated Sciences
6 and Assessment (RISA) cross-center project that included the California Nevada Applications
7 Program (CNAP), the Climate Impacts Group (CIG), Climate Assessment for the Southwest
8 (CLIMAS), and the Western Water Assessment (WWA). Additional support has been provided by
9 the Climate Impacts Research Consortium (CIRC).

1 **REFERENCES**

- 2
- 3 Abatzoglou, J.T., and T.J. Brown, 2012: A comparison of statistical downscaling methods suited for
4 wildfire applications. *Int. J. Climatol.*, **32**, 772–780. doi: 10.1002/joc.2312.
- 5 Ault, T. R., J. E. Cole, and S. St. George, 2012: The amplitude of decadal to multidecadal variability in
6 precipitation simulated by state-of-the-art climate models. *Geophys. Res. Lett.*, **39**, L21705,
7 doi:10.1029/2012GL053424.
- 8 Ault T.R., J.E. Cole, J.T. Overpeck, G.T. Pederson, S. St. George, B. Otto-Bliesner, C.A.
9 Woodhouse, and C. Deser, 2013: The continuum of hydroclimate variability in western North
10 America during the last millennium. *Journal of Climate* (accepted Feb 11, 2013).
- 11 American Water Works Association (AWWA), 1997: “Climate Change and Water Resources.”
12 Committee Report of the Public Advisory Forum. *Journal of the American Water Works*
13 *Association*, **89**(11), pp. 107-110.
- 14 Barnett, T.P., and D.W. Pierce, D. W., 2008: When will Lake Mead go dry. *Water Resources Research*,
15 **44**(3), W3201.
- 16 Barnett, T.P., and D.W. Pierce, 2009: Sustainable water deliveries from the Colorado River in a changing
17 climate. *Proceedings of the National Academy of Sciences*, **106**(18), 7334-7338.
- 18 Behar, D. 2009: Testimony before the Subcommittee on Energy and Environment of the Committee on
19 Science and Technology, U.S. House of Representatives. May 5, 2009. Transcript available
20 online at: [http://docs.lib.noaa.gov/noaa_documents/National_Climate_Service_2009/
21 Behar_Testimony.pdf](http://docs.lib.noaa.gov/noaa_documents/National_Climate_Service_2009/Behar_Testimony.pdf).
- 22 Brown, C. and R. L. Wilby, 2012: An alternate approach to assessing climate risks. *Eos Trans. AGU*,
23 **93**(41), 401, doi:10.1029/2012EO410001.
- 24 Burnash, R. J. C., R. L. Ferral, and R. A. McGuire, 1973: A generalized streamflow simulation system:
25 Conceptual models for digital computers. Joint Federal and State River Forecast Center, U.S.

1 National Weather Service and California Department of Water Resources Tech. Rep., 204 pp.

2 Burges, S. J., 1991: Some aspects of hydrologic variability. Pages 275-280 in Committee on Climate
3 Uncertainty and Water Resources Management, WSTB, Commission on Geosciences,
4 Environment, and Resources. Managing water resources in the west under conditions of
5 climate uncertainty. Proceedings of a colloquium, Scottsdale, Arizona, Nov 14-16, 1990.
6 National Academy Press, Washington, D.C.

7 Cayan, D.R., T. Das, D.W. Pierce, T.P. Barnett, M. Tyree and A. Gershunov, 2010: Future dryness in the
8 southwest US and the hydrology of the early 21st century drought. *PNAS*, **107**(50), 21271-21276.
9 www.pnas.org/cgi/doi/10.1073/pnas.0912391107

10 Cayan, D., M. Tyree, K.E. Kunkel, C. Castro, A. Gershunov, J. Barsugli, A.J. Ray, J. Overpeck, M.
11 Anderson, J. Russell, B. Rajagopalan, I. Rangwala and P. Duffy, 2013: Future Climate: Projected
12 Average. Chapter 6 in *Assessment of Climate Change in the Southwest United States: A Report*
13 *Prepared for the National Climate Assessment*, G. Garfin, A. Jardine, R. Merideth, M. Black, and
14 S. LeRoy (eds), Southwest Climate Alliance report, Washington, DC, *Island Press*, pp 101-125.

15 Conroy, J.L., J.T. Overpeck, J.E. Cole, and M. Steinitz-Kannan, 2009: Variable oceanic influences
16 on western North American drought over the last 1200 years. *Geophysical Research Letters*,
17 **36**(17), L17703.

18 Christensen, N.S., A. W. Wood, N. Voisin, D. P. Lettenmaier, and R.N. Palmer, 2004: The effects of
19 climate change on the hydrology and water resources of the Colorado River Basin. *Climatic*
20 *Change*, **62**, 337–363.

21 Christensen, N.S., and D.P. Lettenmaier, 2007: A multimodel ensemble approach to assessment of
22 climate change impacts on the hydrology and water resources of the Colorado River basin. *Hydrol.*
23 *Earth Syst. Sci.*, **3**, 1–44.

24 Cook, E.R., C.A. Woodhouse, C.M. Eakin, D.M. Meko, and D.W. Stahle, 2004: Long- term aridity
25 changes in the western United States. *Science*, **306**(5698), 1015–1018,

1 doi:10.1126/science.1102586.

2 Cook, E. R., et al., 2008: North American summer PDSI reconstructions, version 2a, IGBP PAGES
3 World Data Cent. Paleoclimatol. Data Contrib. Ser. 2008- 046, Paleoclimatol. Program,
4 NGDC, NOAA, Boulder, Colo.

5 Cook, E.R., Seager, R., Heim Jr, R. R., Vose, R. S., Herweijer, C., & C. Woodhouse, 2010:
6 Megadroughts in North America: Placing IPCC projections of hydroclimatic change in a long- term
7 palaeoclimate context. *J. Quat. Sci.*, **25**, 48–61, doi:10.1002/jqs.1303.

8 Cook, B. I., Seager, R., and R.L. Miller, 2011: On the Causes and Dynamics of the Early Twentieth-
9 Century North American Pluvial. *Journal of Climate*, **24**(19), 5043-5060.

10 Daly, C., R.P. Neilson, and D.L. Phillips, 1994: A statistical-topographic model for mapping
11 climatological precipitation over mountainous terrain. *Journal of Applied Meteorology*, **33**, 140-
12 158.

13 Das, T., D.W. Pierce, D.R. Cayan, J.A. Vano, and D.P. Lettenmaier, 2011: The importance of warm
14 season warming to western U.S. streamflow changes. *Geophysical Research Letters*, **38**, L23403,
15 doi:10.1029/2011GL049660.

16 Deser, C., Knutti, R., Solomon, S., & Phillips, A. S., 2012: Communication of the role of natural
17 variability in future North American climate. *Nat Clim Change (accepted)*.

18 Diffenbaugh, N. S., 2005: Atmosphere-land cover feedbacks alter the response of surface temperature to
19 CO 2 forcing in the western United States. *Climate Dynamics*, **24**(2), 237-251.

20 Dominguez, F., E. Rivera, D.P. Lettenmaier, and C.L. Castro, 2012: Changes in winter precipitation
21 extremes for the western United States under a warmer climate as simulated by regional climate
22 models. *Geophys. Res. Lett.*, **39**, L05803, doi:10.1029/2011GL050762.

23 Emile-Geay, J., Seager, R., Cane, M. A., Cook, E. R., and G.H. Haug, G. H., 2008: Volcanoes and
24 ENSO over the past millennium. *Journal of Climate*, **21**(13), 3134-3148.

25 Fulp, T., 2005: How low can it go. *Southwest Hydrology*, **4**(2), 16-17.

1 Gao Y, JA Vano, C Zhu, and DP Lettenmaier, 2011: Evaluating climate change over the Colorado River
2 basin using regional climate models, *J. Geophys. Res.*, **116**, D13104, doi:10.1029/2010JD015278.

3 Gao, Y., Leung, L. R., Salathé Jr, E. P., Dominguez, F., Nijssen, B., and D.P. Lettenmaier, 2012:
4 Moisture flux convergence in regional and global climate models: Implications for droughts in the
5 southwestern United States under climate change. *Geophysical Research Letters*, **39**(9), L09711

6 Graham, N. E., Hughes, M. K., Ammann, C. M., Cobb, K. M., Hoerling, M. P., Kennett, D. J.,
7 Kennett, J.P., Rein, B. Stott, L., Wigand, P.E. and T. Xu, 2007: Tropical Pacific–mid-latitude
8 teleconnections in medieval times. *Climatic Change*, **83**(1), 241-285.

9 Graham, N. E., Ammann, C. M., Fleitmann, D., Cobb, K. M., & Luterbacher, J., 2011: Support for
10 global climate reorganization during the “Medieval Climate Anomaly”. *Climate dynamics*,
11 **37**(5), 1217-1245.

12 Hamlet A.F., and D.P. Lettenmaier, 2005: Production of temporally consistent gridded precipitation and
13 temperature fields for the continental U.S. *J. Hydrometeorology* **6** (3), 330-336.

14 Hamlet, A.F., E.P. Salathé, and P. Carrasco, 2010: Statistical Downscaling Techniques for Global
15 Climate Model Simulations of Temperature and Precipitation with Application to Water Resources
16 Planning Studies, Available online at: [http://www.hydro.washington.edu](http://www.hydro.washington.edu/2860/products/sites/r7climate/study_report/CBCCSP_chap4_gcm_final.pdf)
17 [/2860/products/sites/r7climate/study_report/CBCCSP_chap4_gcm_final.pdf](http://www.hydro.washington.edu/2860/products/sites/r7climate/study_report/CBCCSP_chap4_gcm_final.pdf)

18 Haddeland, I., B.V. Matheussen, and D.P. Lettenmaier, 2002: Influence of spatial resolution on simulated
19 streamflow in a macroscale hydrologic model. *Water Resources Research*, **38**(7), 1124-1133,
20 10.1029/2001WR000854.

21 Harding, B. L., Wood, A. W., and J.R. Prairie, 2012: The implications of climate change scenario
22 selection for future streamflow projection in the Upper Colorado River Basin. *Hydrol. Earth Syst.*
23 *Sci. Discuss.*, **9**, 847-894, doi:10.5194/hessd-9-847-2012.

1 Hartmann, H.C., 2005. Use of climate information in water resources management. In: Encyclopedia of
2 Hydrological Sciences, M.G. Anderson (Ed.), John Wiley and Sons Ltd., West Sussex, UK,
3 Chapter 202.

4 Hidalgo H.G., 2004: Climate precursors of multidecadal climate variability in the western United States.
5 *Water Resources Research*, **40**, W12504, 10.1029/2004WR003350

6 Hidalgo H.G., M.D. Dettinger, D.R. Cayan, 2008: Downscaling with Constructed Analogues: Daily
7 precipitation and temperature fields over the Unites States. California Energy Commission
8 technical report CEC-500-2007-123. 48 pp.

9 Hidalgo, H. G., T. C. Piechota, and J. A. Dracup, 2000: Alternative principal components regression
10 procedures for dendrohydrologic reconstructions. *Water Resour. Res.*, **36**, 3241–3249

11 Hoerling M., and J.K. Eischeid, 2007: Past peak water in the southwest. *Southwest Hydrology.*, **6**, 18–
12 35.

13 Hoerling, M., D. P. Lettenmaier, D. Cayan, and B. Udall, 2009: Reconciling projections of Colorado
14 River streamflow. *Southwest Hydrol.*, **8**, 20–21, 31.

15 Karnauskas, K. B., J.E. Smerdon, R. Seager, and J.F. González-Rouco, 2012: A Pacific Centennial
16 Oscillation Predicted by Coupled GCMs. *Journal of Climate*, **25**(17), 5943-5961.

17 Karpechko, A.Y. and E. Manzini, 2012: Stratospheric influence on tropospheric climate change in
18 the Northern Hemisphere. *Journal of Geophysical Research*, *117*(D5), D05133.

19 Kerr, R.A., 2011. Time to adapt to a warming world, but where's the science? *Science*, **334** (6059), 1052-
20 1053.

21 Kirchoff, C.J., 2010. *Integrating Science and Policy: Climate Change Assessment and Water Resources*
22 *Management*. Rept. No. CSS 10-16, Center for Sustainable Systems, University of Michigan, Ann
23 Arbor, MI, 296pp.

1 Knutti, R. and J. Sedláček, 2012: Robustness and uncertainties in the new CMIP5 climate model
2 projections. *Nature Climate Change*, published online 28 October 2012, DOI:
3 10.1038/NCLIMATE1716)

4 Livneh, B., P.J. Restrepo, and D.P. Lettenmaier, 2011: Development of a Unified Land Model for
5 prediction of surface hydrology and land-atmosphere interactions. *J. Hydrometeorol.*, **12**(6),
6 1299-1320, 10.1175/2011JHM1361.1

7 Mahmoud, M., Y. Liu, H.C. Hartmann, S. Stewart, T. Wagener, D. Semmens, R. Stewart, H. Gupta, D.
8 Dominguez, F. Dominguez, D. Hulse, R. Letcher, B. Rashleigh, C. Smith, R. Street, J. Ticehurst,
9 M. Twery, H. van Delden, R. Waldick, D. White, and L. Winter, 2009: A formal framework for
10 scenario development in support of environmental decision-making. *Environmental Modeling
11 and Software* **24**(7),798-808.

12 Maurer, E. P., A. W. Wood, J. C. Adam, D. P. Lettenmaier, and B. Nijssen, 2002: A long-term
13 hydrologically-based data set of land surface fluxes and states for the conterminous United States.
14 *J. Clim.*, **15**, 3237–3251.

15 Maurer, E.P., H.G. Hidalgo, T. Das, M.D. Dettinger and D.R. Cayan, 2010: The utility of daily large-
16 scale climate data in the assessment of climate change impacts on daily streamflow in California.
17 *Hydrol. Earth Syst. Sci.*, **14**, 1125-1138, doi:10.5194/hess-14-1125-2010.

18 McCabe, G.L., and S.L. Markstrom, 2007: A Monthly Water-Balance Model Driven By a Graphical User
19 Interface. U.S. Geological Survey Open-File Report 2007-1088, Reston, VA.

20 McCabe, G. J., and D. M. Wolock, 2007: Warming may create substantial water supply shortages in the
21 Colorado River basin. *Geophys. Res. Lett.*, **34**, L22708, doi:10.1029/2007GL031764.

22 McCabe, G.J., and D.M. Wolock, 2011: Century-scale variability in global annual runoff examined using
23 a water balance model. *International Journal of Climatology*, **31**:1739–1748, doi:
24 10.1002/joc.2198.

25 Mearns, L. O., Arritt, R., Biner, S., Bukovsky, M. S., McGinnis, S., Sain, S., ... & M. Snyder, 2012: The
26 North American regional climate change assessment program: Overview of phase I results.

1 *Bulletin of the American Meteorological Society*, **93**(9), 1337-1362

2 Meko, D. M., C. A. Woodhouse, C. H. Baisan, T. Knight, J. J. Lukas, M. K. Hughes, and W. Salzer,
3 2007a: Medieval drought in the Upper Colorado River Basin. *Geophys. Res. Lett.*, **34**, L10705,
4 doi:10.1029/2007GL029988.

5 Meko, D.M., et al. 2007b: Upper Colorado River Flow Reconstruction. IGBP PAGES/World Data Center
6 for Paleoclimatology Data Contribution Series # 2007-052. NOAA/NCDC Paleoclimatology
7 Program, Boulder CO, USA.

8 Milly, P.C.D., J. Betancourt, M. Falkenmark, R. Hirsch, Z. Kundzewicz, D. Lettenmaier, and R. Stouffer,
9 2008: Stationarity is dead: whither water management? *Science*, **319** (5863), 573-574.

10 Milly, P.C.D., K.A. Dunne, and A.V. Vecchia, 2005: Global pattern of trends in streamflow and water
11 availability in a changing climate. *Nature*, **438**, 347–350.

12 Mote, P.W., L.D. Brekke, P. B. Duffy, and E. Maurer, 2011: Guidelines for Constructing Climate
13 Scenarios. *Eos*, **92** (31), 2 August 2011

14 Moss R.H., J.A. Edmonds, K.A. Hibbard, M.R. Manning, S.K. Rose, D.P. van Vuuren, T.R. Carter, S.
15 Emori, M. Kainuma, T. Kram, G.A. Meehl, J.F.B. Mitchell, N. Nakicenovic, K. Riahi, S.J. Smith,
16 R.J. Stouffer, A.M. Thomson, J.P. Weyant, and T.J. Wilbanks, 2010: The next generation of
17 scenarios for climate change research and assessment. *Nature* **463**, 747-756.
18 doi:10.1038/nature08823

19 Nakicenovic, N., Ogunlade Davidson, Gerald Davis, Arnulf Grußler, Tom Kram, Emilio Lebre La
20 Rovere, Bert Metz, Tsuneyuki Morita, William Pepper, Hugh Pitcher, Alexei Sankovski,
21 Priyadarshi Shukla, Robert Swart, Robert Watson, Zhou Dadi, 2000: Special Report on
22 Emissions Scenarios: A Special Report of Working Group III of the Intergovernmental Panel on
23 Climate Change. Cambridge Univ. Press.

24 Nash, L.L. and P.H. Gleick. 1991: The sensitivity of streamflow in the Colorado Basin to climatic
25 changes. *Journal of Hydrology*, **125**, 221-241

26 Niu, G. Y., Yang, Z. L., Mitchell, K. E., Chen, F., Ek, M. B., Barlage, M., ... and Y Xia, 2011: The

1 community Noah land surface model with multiparameterization options (Noah-MP): 1. Model
2 description and evaluation with local-scale measurements. *Journal of Geophysical Research*,
3 *116*(D12), D12109

4 NRC (National Research Council), 2010: *Informing an Effective Response to Climate Change*. National
5 Academy Press, Washington, DC.

6 NRC (National Research Council), 2011: *Climate Stabilization Targets: Emissions, Concentrations, and*
7 *Impacts over Decades to Millennia*. National Academy Press, Washington, DC.

8 Oglesby, R., S. Feng, Q. Hu, and C. Rowe, 2012: The role of the Atlantic Multidecadal Oscillation
9 on medieval drought in North America: Synthesizing results from proxy data and climate
10 models. *Global and Planetary Change*, *84*, 56-65.

11 Overpeck, J., and B. Udall, 2010: Dry times ahead. *Science*, **328**, 1642–1643,
12 doi:10.1126/science.1186591.

13 Painter, T. H., Deems, J. S., Belnap, J., Hamlet, A. F., Landry, C. C., and B. Udall, 2010: Response
14 of Colorado River runoff to dust radiative forcing in snow. *P. Natl. Acad. Sci. USA*, **107**,
15 17125–17130.

16 Pederson, G.T., Gray, S.T., Woodhouse, C.A., Betancourt, J.L., Fagre, D.B., Littell, J.S., Watson, E.,
17 Luckman, B.H. and L.J. Graumlich, 2011: The unusual nature of recent snowpack declines in the
18 North American Cordillera. *Science* ,**333**, 332-335. DOI: 10.1126/science.1201570.

19 PRISM Climate Group, Oregon State University, <http://prism.oregonstate.edu>, created 4 Feb 2004

20 Racherla, P.N., D.T. Shindell, and G.S. Faluvegi, G. S., 2012: The added value to global model
21 projections of climate change by dynamical downscaling: A case study over the continental US
22 using the GISS- ModelE2 and WRF models. *Journal of Geophysical Research: Atmospheres*,
23 *117*(D20)

24 Rasmussen, R., Liu, C., Ikeda, K., Gochis, D., Yates, D., Chen, F., ... & E. Gutmann, 2011: High-
25 resolution coupled climate runoff simulations of seasonal snowfall over Colorado: a process study

1 of current and warmer climate. *Journal of Climate*, **24**(12), 3015-3048.

2 Rauscher, S. A., J. S. Pal, N. S. Diffenbaugh, and M. M. Benedetti, 2008: Future changes in snowmelt-
3 driven runoff timing over the western US. *Geophys. Res. Lett.*, **35**, L16703,
4 doi:10.1029/2008GL034424.

5 Routson, C.C., C.A. Woodhouse, and J.T. Overpeck, 2011: Second century megadrought in the Rio
6 Grande headwaters, Colorado: How unusual was medieval drought?, *Geophys. Res. Lett.*, **38**,
7 L22703, doi:10.1029/2011GL050015.

8 Salas, Jose D., 1993: Analysis and modeling of hydrologic time series. *Handbook of hydrology* **19**, 1-72.

9 Scaife, A. A., T. Spanghel, D.R. Fereday, U. Cubasch, U. Langematz, H. Akiyoshi,, ... and T.G.
10 Shepherd, 2012: Climate change projections and stratosphere–troposphere interaction.
11 *Climate dynamics*, **38**(9), 2089-2097

12 Seager, R., Ting, M., Held, I., Kushnir, Y., Lu, J., Vecchi, G., ... & Naik, N., 2007: Model projections of
13 an imminent transition to a more arid climate in southwestern North America. *Science*, **316**, 1181–
14 1184.

15 Seager, R., and G. A. Vecchi, 2010: Greenhouse warming and the 21st Century hydroclimate of
16 southwestern North America. *Proc. Natl. Acad. Sci., U.S.A*, **107**, 21,277–21,282,
17 doi:10.1073/pnas.0910856107.

18 Seager, R., R. Burgman, Y. Kushnir, A. Clement, E. Cook, N. Naik, and J. Miller, 2008: Tropical
19 Pacific Forcing of North American Medieval Megadroughts: Testing the Concept with an
20 Atmosphere Model Forced by Coral-Reconstructed SSTs. *Journal of Climate*, **21**(23), 6175-
21 6190.

22 Seager, R, M. Ting, C. Li, N. Naik, B. Cook, J. Nakamura, and H. Liu, 2012: Projections of declining
23 surface-water availability for the southwestern United States. *Nature Climate Change*,
24 Published online 23 Dec 2012, DOI: 10.1038/NCLIMATE1787.

25 Stockton, C.W. and G.C. Jacoby, 1976: Long-Term Surface-Water Supply and Streamflow Trends in the

1 Upper Colorado River Basin. Lake Powell Research Project Bulletin No. 18. National Science
2 Foundation, Arlington, VA.

3 Tang, Q., and D. P. Lettenmaier, 2012: 21st century runoff sensitivities of major global river basins.
4 *Geophys. Res. Lett.*, **39**, L06403, doi:10.1029/2011GL050834.

5 Trenberth, K. E., 1998: Atmospheric moisture residence times and cycling: Implications for rainfall
6 rates and climate change. *Climatic change*, **39**(4), 667-694.

7 USBR (United States Bureau of Reclamation), 2007a: Colorado River Interim Guidelines for Lower
8 Basin Shortages and Coordinated Operations for Lakes Powell and Mead, Draft Environmental
9 Impact Statement. U.S. Department of the Interior, Boulder City, Nevada.
10 <http://www.usbr.gov/lc/region/programs/strategies/draftEIS/index.html>

11 USBR (United States Bureau of Reclamation), 2007b: CRSS Model Documentation, Final EIS –
12 Colorado River Interim Guidelines for Lower Basin Shortages and Coordinated Operations for
13 Lake Powell and Lake Mead, available at:
14 <http://www.usbr.gov/lc/region/programs/strategies/FEIS/AppA.pdf>

15 USBR (United States Bureau of Reclamation), 2011a: Colorado River Basin Water Supply and Demand
16 Study, Interim Report No. 1. U.S. Department of the Interior, Boulder City, Nevada.
17 <http://www.usbr.gov/lc/region/programs/crbstudy.html>, accessed October 18, 2011.

18 USBR (United States Bureau of Reclamation), 2011b: West-Wide Climate Risk Assessments: Bias-
19 Corrected and Spatially Downscaled Surface Water Projections, Technical Memorandum No. 86-
20 68210-2011-01, U.S. Department of the Interior, Denver, Colorado
21 <http://www.usbr.gov/WaterSMART/docs/west-wide-climate-risk-assessments.pdf>, accessed
22 October 22, 2012.

23 USBR (United States Bureau of Reclamation), cited 2012: CURRENT natural flow data 1906-2008, last
24 updated January 16, 2011. [Available online at
25 <http://www.usbr.gov/lc/region/g4000/NaturalFlow/current.html>.]

- 1 Vano, J.A., T. Das, and D.P. Lettenmaier, 2012: Hydrologic sensitivities of Colorado River runoff to
2 changes in precipitation and temperature. *Journal of Hydrometeorology*, **13**(3), 932-949,
3 doi:10.1175/JHM-D-11-069.1.
- 4 Wi, S., F. Dominguez, M. Durcik, J. Valdes, H. F. Diaz, and C. L. Castro, 2012: Climate change
5 projection of snowfall in the Colorado River Basin using dynamical downscaling. *Water Resour.*
6 *Res.*, **48**, W05504, doi:10.1029/2011WR010674.
- 7 Wood, A. W., Leung, L. R., Sridhar, V., and, D.P. Lettenmaier, 2004: Hydrologic implications of
8 dynamical and statistical approaches to downscaling climate model outputs. *Climatic Change*,
9 **62**(1), 189-216
- 10 Wood, A.W., and D.P. Lettenmaier, 2006: A test bed for new seasonal hydrologic forecasting approaches
11 in the western United States. *Bull. Amer. Meteor. Soc.*, **87**, 1699–1712.
- 12 Woodhouse, C.A., S.T. Gray and D.M. Meko, 2006: Updated Streamflow Reconstructions for the Upper
13 Colorado River Basin. *Water Resources Research*, **42**, W05415, doi:10.1029/2005WR004455.
- 14 Woodhouse, C.A., D.M. Meko, G.M. MacDonald, D.W. Stahle, and E.R. Cook, 2010: A 1,200- year
15 perspective of 21st century drought in the southwestern North America. *Proc. Natl. Acad. Sci.*
16 *U.S.A.*, **107**(50), 21,283–21,288, doi:10.1073/pnas.0911197107.
- 17 Woodhouse, C.A. and P.M. Brown, 2001: Tree-ring evidence for Great Plains drought. *Tree-Ring*
18 *Research*, **57**, 89-103.
- 19 Woodhouse, C.A. and J.J. Lukas, 2006: Multi-century tree-ring reconstructions of Colorado streamflow
20 for water resource planning. *Climatic Change* **78**, 293-315.
- 21 Woodhouse, C.A., and J.T. Overpeck, 1998: 2000 years of drought variability in the central United States.
22 *Bull. Am. Meteorol. Soc.*, **79**, 2693–2714, doi:10.1175/1520-0477(1998)079<2693:YODVIT
23 >2.0.CO;2.
- 24

1

2 **FIGURE CAPTIONS**

3 Fig. 1. Approaches to generating future projections. Dotted lines indicate possible future studies.
4 Land surface models (LSMs) are often incorporated in GCMs and RCMs, or they can be run (usually
5 after downscaling) off-line, in which case they use output from climate models (e.g., precipitation,
6 temperature, wind speed) and essentially serve as macroscale hydrology models. Paleoclimate data
7 can also be used to evaluate and improve how GCMs simulate historical climate.

8

9 Fig. 2. Colorado River Flows at Lees Ferry and paleoclimate reconstructions that provide evidence of
10 drought occurrence and persistence over the past 2000 years. (a) Naturalized streamflow values from
11 the USBR (2012) from 1906-2008. (b) Streamflow reconstruction 762-2005 at Lees Ferry from the
12 Upper Colorado River Flow Reconstruction dataset (Meko et al. 2007b), as described in Meko et al.
13 (2007a), confidence intervals were generated using RMSE values. (c) Soil moisture reconstruction
14 (black line) from Cook et al. (2008) using an average of six PDSI points representing the Four
15 Corners region from 0-2006, as reported by Routson et al. (2011). Reconstructed flow values (red
16 line) are provided as reference and are the same as in the panel above. The reality of the 2nd century
17 mega drought has been recently confirmed by Routson et al. (2011) with strong indications that the
18 longest multi-decadal megadrought observed in the last 2000 years lasted close to 50 years.

19

20 Fig. 3. Precipitation minus evaporation anomalies from GCM output for grids over the Upper Basin
21 (which contribute to flows at Lees Ferry). Anomalies are relative to the individual GCM's
22 climatology from 1950-2000. Anomalies have been filtered using a 10-yr moving average. Black
23 lines are median values; gray area is the interquartile range. Left panels show the effect of
24 differences in GCMs for just the A1B scenario, where the Non Union GCMs are those included in

1 Seager, but not in C&L. Right panels show differences between scenarios (A2, A1B, and B1) for
2 just the 11 GCMs used in C&L.

3

4 Fig. 4. Influence of spatial resolution on Upper Colorado River basin runoff. When the $1/8^\circ$ climate
5 forcing dataset (monthly temperature and precipitation) was aggregated to $1/2^\circ$, 1° , and 2° resolutions,
6 annual average TWB modeled runoff (black line) declines and temperature sensitivities (orange line)
7 become more negative.

8

9 Fig. 5. Precipitation elasticities and temperature sensitivities at Lees Ferry. Values on the left of the
10 dashed line are from Vano et al. (2012), values on the right are the Sacramento Operational Model
11 (SAC op) and the Thornwaite water balance (TWB) computations. $T_{min\&max}$ are sensitivity values
12 that result from changes to both minimum and maximum temperatures, whereas $T_{minfixed}$ are
13 results from changes applied only to maximum temperatures (see Vano et al. 2012 for details). SAC
14 op and TWB models only use a single average temperature (T_{avg}). Note: some models are better
15 able to reproduce observed hydrologic characteristics, providing some basis for identifying preferred
16 models. See Vano et al. (2012) for details.

17

18 Fig. 6. Comparison of BCSD downscaling from Christensen and Lettenmaier (2007) with a delta
19 method downscaling approach for Lees Ferry in the 2040-2069 future period for A2 emission
20 scenarios. On average, the BCSD approach has a decline in streamflow of 7% (average values of
21 93%) whereas with the delta method, declines are 13% (average values of 87%). The differences are
22 the BCSD minus the delta method approach.

23

1 **TABLES**

Table 1. Details of studies used in evaluating future Colorado streamflow

3

	# of GCMs	# of RCMs	emission scenarios	total projections ^A	spatial resolution	type downscaling	land surface representation
Seager et al. 2007	19	-	SRES A1B	49	~2 ⁰ lat-lon (~200 km)	-	GCM P-E
Seager et al. 2012	16 ^B	-	CMIP5 RCP8.5	43	~2 ⁰ lat-lon (~200 km) ^C	-	GCM P-E & runoff
Milly et al. 2005	12	-	SRES A1B	24	~2 ⁰ lat-lon (~200 km)	-	GCM runoff
Christensen et al. 2004	1	-	ACPI BAU	3	1/8 ⁰ lat-lon (~12 km)	BCSD	VIC hydrologic model
Christensen and Lettenmaier 2007	11	-	SRES A2 & B1	22	1/8 ⁰ lat-lon (~12 km)	BCSD	VIC hydrologic model
Cayan et al. 2010	2 ^D	-	SRES A2 & B1	4	1/8 ⁰ lat-lon (~12 km)	constructed analogs	VIC hydrologic model
USBR 2011a (approach 3 ^E)	16	-	SRES A2, A1B, & B1	112	1/8 ⁰ lat-lon (~12 km)	BCSD	VIC hydrologic model
Gao et al. 2011	3	3	SRES A2	3	50 km grids	dynamical	RCM runoff
Rasmussen et al. 2011	1	1	SRES A2	1	2, 6, 18, & 36 km grids	psuedo global warming approach	RCM runoff
Gao et al. 2012	4	4	SRES A2 & A1B	4	50 & ~35 km grids	dynamical	RCM P-E
Hoerling and Eischeid 2007	18	-	SRES A1B	42	climate divisions (~150 km)	downscaling regression	PDSI Index with regression
Cook et al. 2004	-	-	-	1	2.5 ⁰ lat-lon	-	PDSI reconstruction
Woodhouse et al. 2006	-	-	-	1	62 tree ring chronologies	-	proxy reconstructions
Meko et al. 2007	-	-	-	1	11 chronologies, Upper basin	-	proxy reconstructions
McCabe and Wolock 2007	estimate 2 ⁰ C ^F	-	-	2	62 HUC8s	-	% adjust based on TWB model & proxy reconst.
USBR 2011a (approach 8 ^E)	-	-	-	1244 & 1000 traces ^G	11 chronologies, Upper basin	-	proxy reconstructions

*Abbreviations not identified in text: SRES=Special Report on Emissions Scenarios; CMIP=Coupled Model Intercomparison Project; RCP=Representative Concentration Pathways; Accelerated Climate Prediction Initiative=ACPI; BAU= Business As Usual

^Atotal projections include multiple runs for the same GCM and emission scenarios

^Bas more GCMs become available, results are updated at: http://www.ldeo.columbia.edu/~cli/NCC_paper.html

^Cspatial resolution for GCMs in CMIP5 are, on average, smaller than those in earlier assessments (Seager et al. 2012)

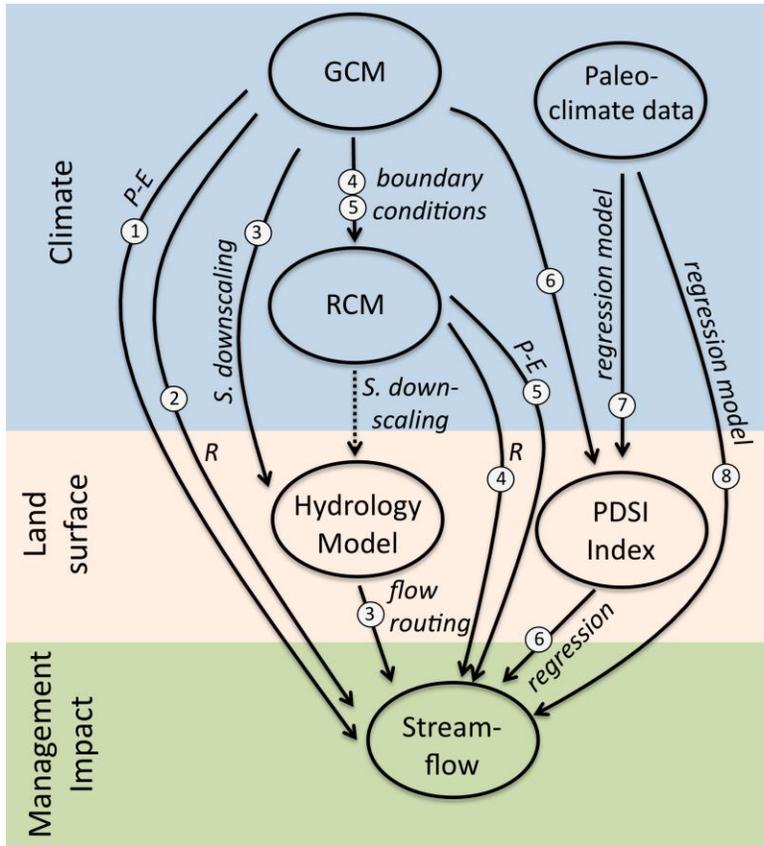
^Dtwelve GCMs were used in total, but only two were downscaled for more detailed analysis of droughts

^Eas specified in Fig. 1

^Ftwo warming scenarios, 0.86 and 2⁰C increases. 0.86⁰C was based on measured trend in Upper basin annual temperature during the 20th century and 2⁰C warmer scenario based on GCM scenarios

^Gresampled using Paleo Resampled (1244) and Paleo Conditioned (1000) methods to generate 50-year periods

1 **FIGURES**



Studies using various approaches:

1. Seager et al. 2007; 2012
2. Milly et al. 2005; Seager et al. 2012
3. Christensen et al. 2004; Christensen and Lettenmaier, 2007; Cayan et al. 2010; USBR 2011a
4. Gao et al. 2011; Rasmussen et al. 2011
5. Gao et al. 2012
6. Hoerling and Eischeid 2007
7. Cook et al. 2004
8. Woodhouse et al. 2006; McCabe and Wolock 2007; Meko et al. 2007; USBR 2011a

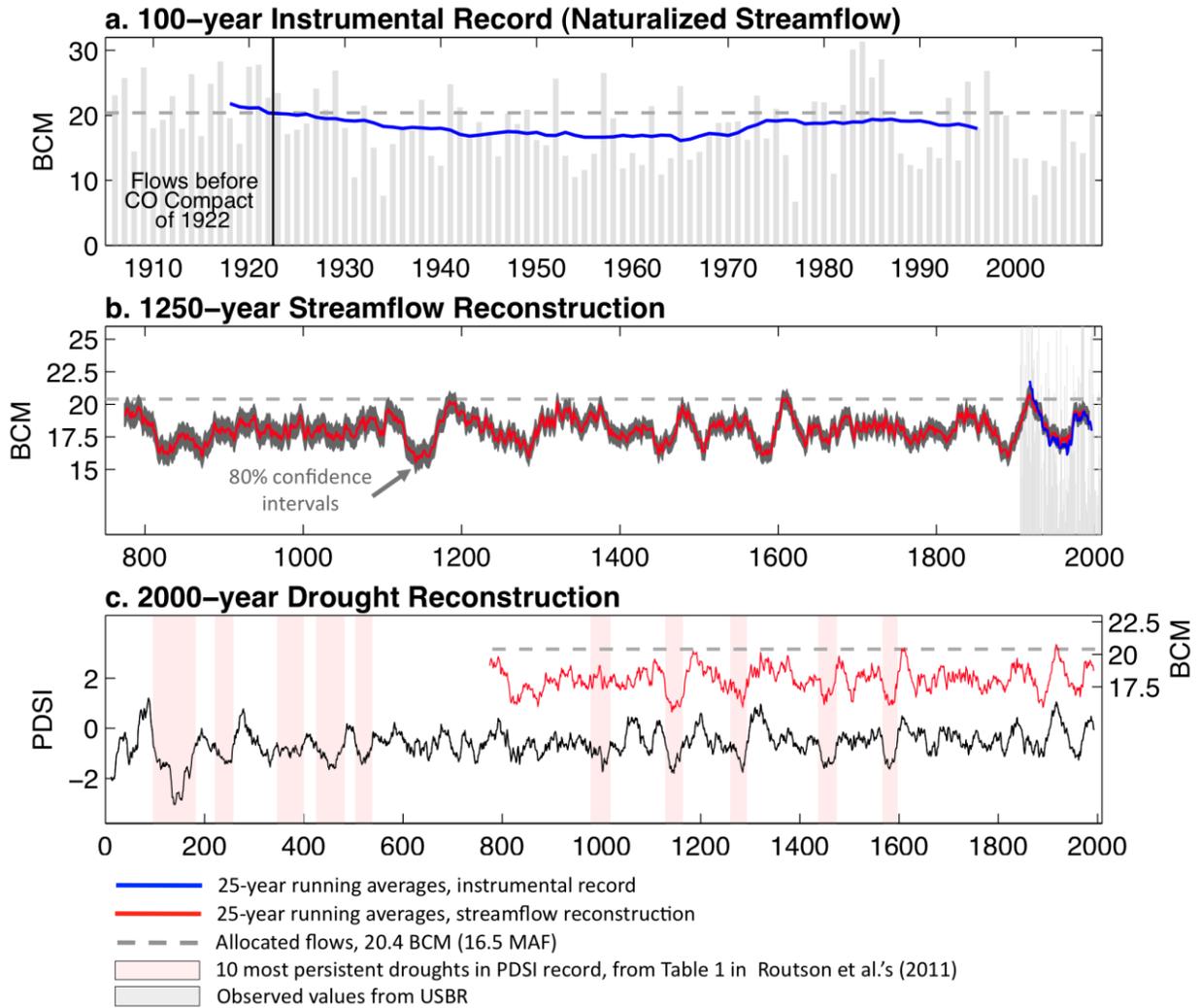
Abbreviations:

- GCM – Global Climate Model
- RCM – Regional Climate Model
- PDSI – Palmer Drought Severity Index
- P – Precipitation
- T – Temperature
- R – Runoff
- E – Evaporation
- S. downscaling – statistical downscaling

2
3
4

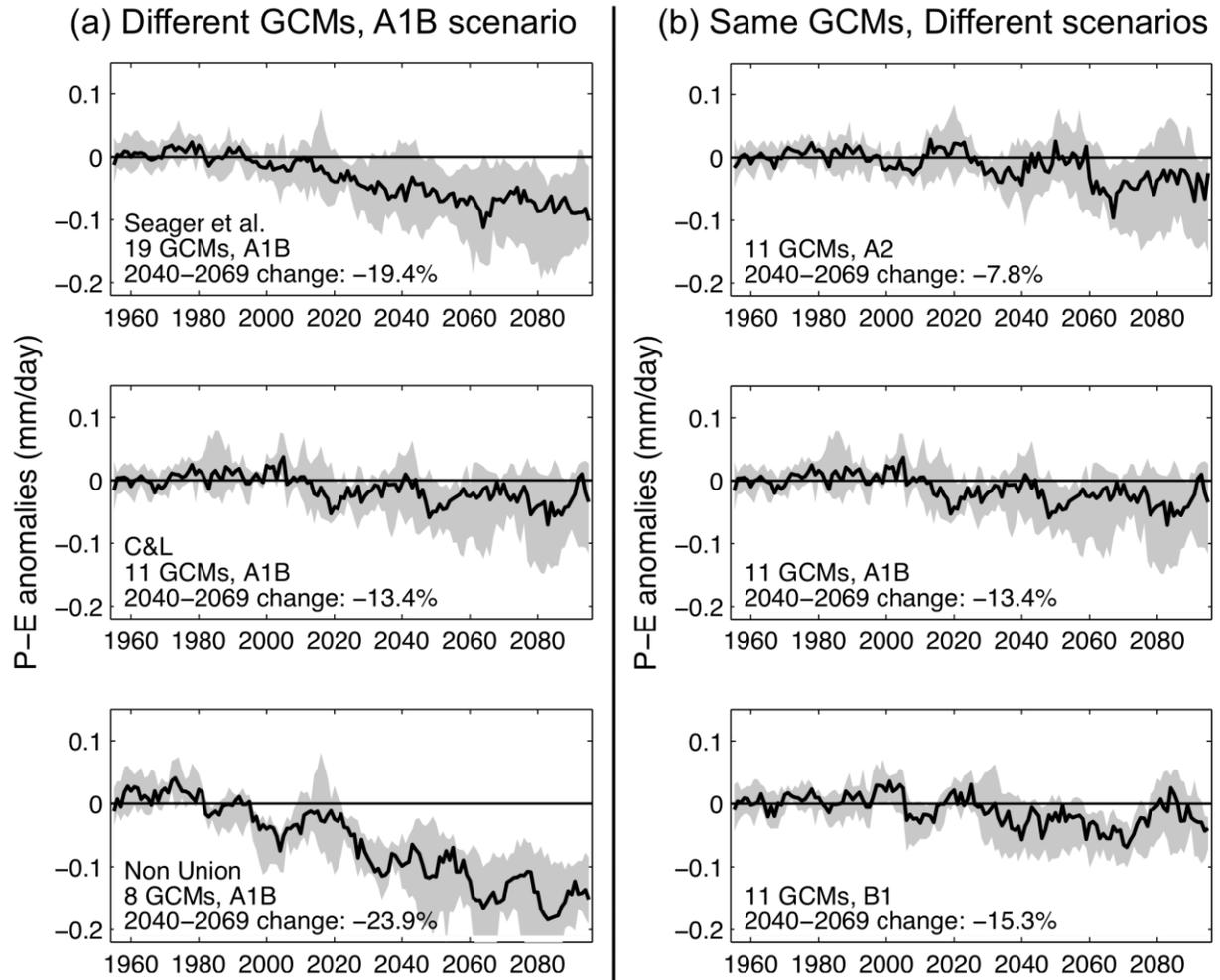
5 Fig. 1. Approaches to generating future projections. Dotted lines indicate possible future studies.
6 Land surface models (LSMs) are often incorporated in GCMs and RCMs, or they can be run (usually
7 after downscaling) off-line, in which case they use output from climate models (e.g., precipitation,
8 temperature, wind speed) and essentially serve as macroscale hydrology models. Paleoclimate data
9 can also be used to evaluate and improve how GCMs simulate historical climate.

1
2



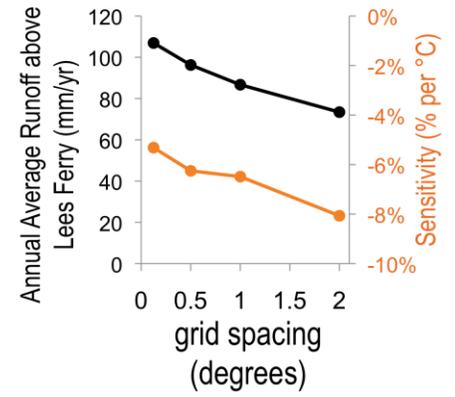
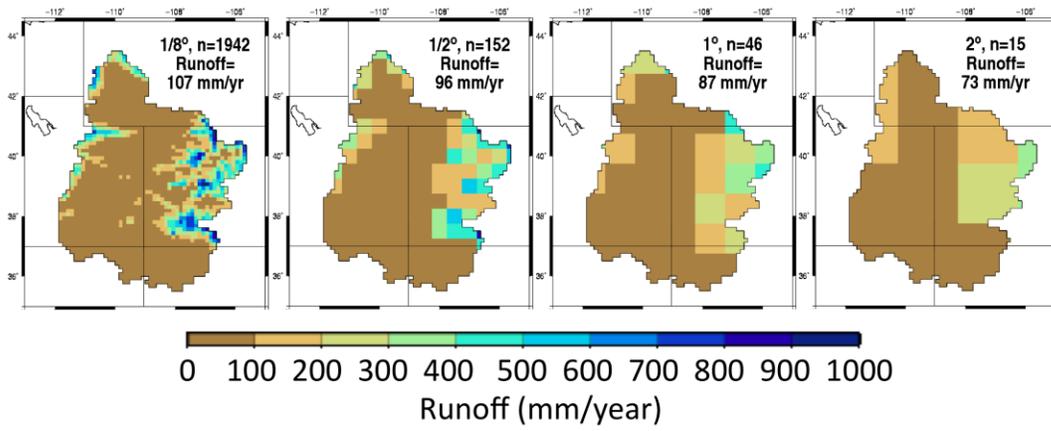
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19

Fig. 2. Colorado River Flows at Lees Ferry and paleoclimate reconstructions that provide evidence of drought occurrence and persistence over the past 2000 years. (a) Naturalized streamflow values from the USBR (2012) from 1906-2008. (b) Streamflow reconstruction 762-2005 at Lees Ferry from the Upper Colorado River Flow Reconstruction dataset (Meko et al. 2007b), as described in Meko et al. (2007a), confidence intervals were generated using RMSE values. (c) Soil moisture reconstruction (black line) from Cook et al. (2008) using an average of six PDSI points representing the Four Corners region from 0-2006, as reported by Routson et al. (2011). Reconstructed flow values (red line) are provided as reference and are the same as in the panel above. The reality of the 2nd century mega drought has been recently confirmed by Routson et al. (2011) with strong indications that the longest multi-decadal megadrought observed in the last 2000 years lasted close to 50 years.

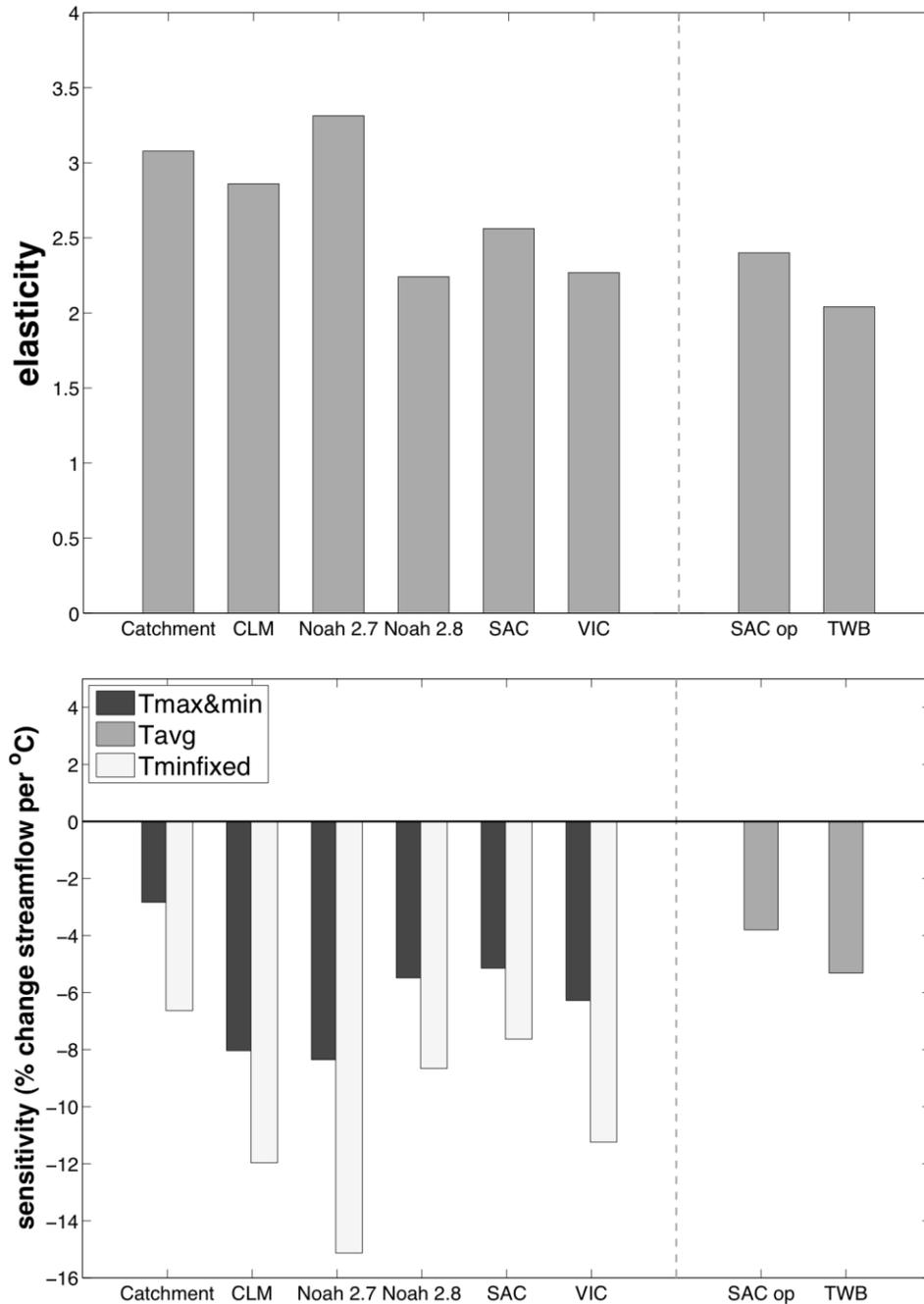


1
 2
 3 Fig. 3. Precipitation minus evaporation anomalies from GCM output for grids over the Upper Basin
 4 (which contribute to flows at Lees Ferry). Anomalies are relative to the individual GCM's
 5 climatology from 1950-2000. Anomalies have been filtered using a 10-yr moving average. Black
 6 lines are median values; gray area is the interquartile range. Left panels show the effect of
 7 differences in GCMs for just the A1B scenario, where the Non Union GCMs are those included in
 8 Seager, but not in C&L. Right panels show differences between scenarios (A2, A1B, and B1) for
 9 just the 11 GCMs used in C&L.

10
 11



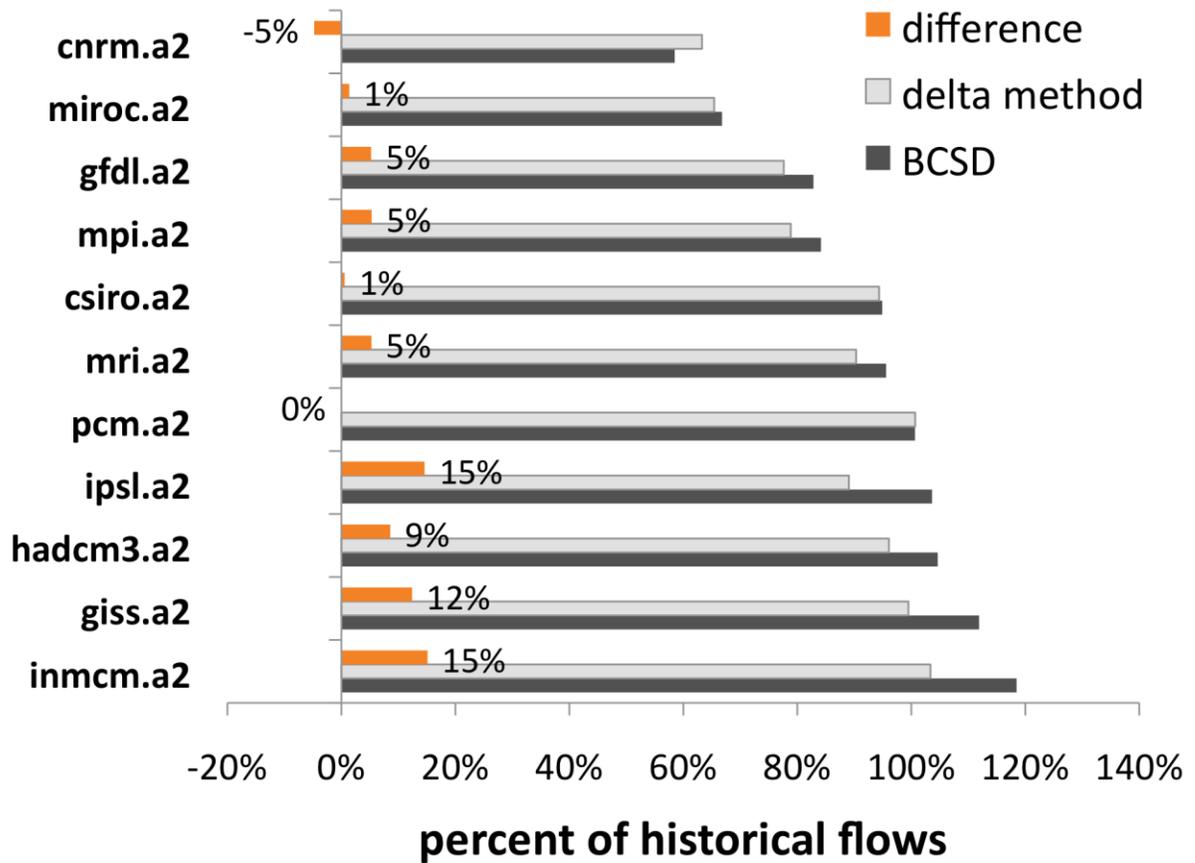
1
 2 Fig. 4. Influence of spatial resolution on Upper Colorado River basin runoff. When the 1/8° climate
 3 forcing dataset (monthly temperature and precipitation) was aggregated to 1/2°, 1°, and 2° resolutions,
 4 annual average TWB modeled runoff (black line) declines and temperature sensitivities (orange line)
 5 become more negative.
 6
 7



1
2
3
4
5
6
7
8
9
10
11

Fig. 5. Precipitation elasticities and temperature sensitivities at Lees Ferry. Values on the left of the dashed line are from Vano et al. (2012), values on the right are the Sacramento Operational Model (SAC op) and the Thornwaite water balance (TWB) computations. Tmax&min are sensitivity values that result from changes to both minimum and maximum temperatures, whereas Tminfixed are results from changes applied only to maximum temperatures (see Vano et al. 2012 for details). SAC op and TWB models only use a single average temperature (Tavg). Note: some models are better able to reproduce observed hydrologic characteristics, providing some basis for identifying preferred models. See Vano et al. (2012) for details.

1



2
3
4
5
6
7
8
9

Fig. 6. Comparison of BCSD downscaling from Christensen and Lettenmaier (2007) with a delta method downscaling approach for Lees Ferry in the 2040-2069 future period for A2 emission scenarios. On average, the BCSD approach has a decline in streamflow of 7% (average values of 93%) whereas with the delta method, declines are 13% (average values of 87%). The differences are the BCSD minus the delta method approach.